

Filing and License Fee: \$310.00 minimum



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Division of Business Services
148 W. River Street
Providence, Rhode Island 02904-2615

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

2015 FEB 19 AM 11:25
SECRETARY OF STATE
CORPORATIONS DIV

Pursuant to the provisions of Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is Eastern Advantage Assurance Company
2. It is incorporated under the laws of Pennsylvania
3. The name, if different, which it elects to use in Rhode Island is:
(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited" or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:
n/a
(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:
n/a
4. The date of its incorporation is February 23, 2007 and the period of its duration is perpetual
5. The address of its principal office is 25 Race Avenue, Lancaster, PA 17603
6. The address of its proposed registered office in Rhode Island is 450 Veterans Memorial Parkway, Suite 7A
(Street Address, not P.O. Box)
East Providence, RI 02914 and the name of its proposed registered agent in Rhode Island at
(City/Town) (Zip Code)
that address is CT Corporation System
(Name of Agent)
7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:
Insurance Company
8. (a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
Director	<u>SEE ATTACHED EXHIBIT A</u>	
Director		
Director		
Director		

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A. A. 11:25 A.M.

(b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
President	SEE ATTACHED EXHIBIT B	
Vice President		
Treasurer		
Secretary		

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
20,000	Common	N/A	Shares are without Par Value

10. (a) \$ 0 = An estimate of the value of all property to be owned by the corporation for the following year, wherever located.
- (b) \$ 0 = An estimate of the value of the corporation's property to be located within Rhode Island during the following year.
- (c) 0 % = An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. {divide (b) by (a), and multiply by 100 to obtain the percentage}
11. (a) \$ 223,800,000 = An estimate of the gross amount of business to be transacted by the corporation during the following year.
- (b) \$ 15,000 = An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year.
- (c) .07 % = An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year. {divide (b) by (a) and multiply by 100 to obtain the percentage}
12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.
13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing _____.

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: 2/10/2014



Signature of Authorized Officer of the Corporation

Brent L. Shirk, Vice President, Finance

Type or Print Name of Authorized Officer

Exhibit A

Director Information for Eastern Advantage Assurance Company
For Rhode Island Application for Certificate of Authority Items 8 (a)

William Stancil Starnes
100 Brookwood Place, Suite 300
Birmingham, AL 35209

Edward Lewis Rand, Jr.
100 Brookwood Place, Suite 300
Birmingham, AL 35209

Howard Harley Friedman
100 Brookwood Place, Suite 300
Birmingham, AL 35209

Jeffrey Patton Lisenby
100 Brookwood Place, Suite 300
Birmingham, AL 35209

Michael Leonard Boguski
25 Race Avenue
Lancaster, PA 17603

Kevin Merrick Shook
25 Race Avenue
Lancaster, PA 17603

Kathryn Anne Neville
100 Brookwood Place, Suite 300
Birmingham, AL 35209

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Exhibit B

Officer Information for Eastern Advantage Assurance Company
For Rhode Island Application for Certificate of Authority Items 8 (b)

William Stancil Starnes - Chairman
100 Brookwood Place, Suite 300
Birmingham, AL 35209

Michael Leonard Boguski – President
25 Race Avenue
Lancaster, PA 17603

Kevin Merrick Shook – Executive Vice President, Treasurer & CFO
25 Race Avenue
Lancaster, PA 17603

Kathryn Anne Neville – Secretary
100 Brookwood Place, Suite 300
Birmingham, AL 35209

Lawrence Kerry Cochran – Vice President
100 Brookwood Place, Suite 300
Birmingham, AL 35209

Noreen Lynn Dishart - Vice President of Human Resources
25 Race Avenue
Lancaster, PA 17603

Suzanne Marie Emmet - Sr. Vice President of Claims and Assistant Secretary
25 Race Avenue
Lancaster, PA 17603

Robert Anthony Gilpin - Sr. Vice President, Marketing
25 Race Avenue
Lancaster, PA 17603

Cynthia Houser Sklar - Sr. Vice President of Underwriting & Risk Management
25 Race Avenue
Lancaster, PA 17603

Harry Walter Talbert – Sr. Vice President, Management Information Systems
25 Race Avenue
Lancaster, PA 17603

Brent Lamar Shirk - Vice President, Finance and Assistant Treasurer
25 Race Avenue
Lancaster, PA 17603

Edward Lewis Rand, Jr. – Assistant Treasurer
100 Brookwood Place, Suite 300
Birmingham, AL 35209

Jeffrey Patton Lisenby – Assistant Secretary
100 Brookwood Place, Suite 300
Birmingham, AL 35209

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CORPORATIONS DIV

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

FEBRUARY 5, 2015

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

Eastern Advantage Assurance Company

is duly incorporated as a Pennsylvania Corporation under the laws of the Commonwealth of Pennsylvania and remains a subsisting corporation so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT, This Subsistence Certificate shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Pedro A. Contes

Acting Secretary of the Commonwealth

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State of Rhode Island and Providence Plantations
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly executed in
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as
amended, has been filed in this office on this day:

Nellie M. Gorbea
Secretary of State

