



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2015

1. Corporate ID No. 000135489

2. Name of Corporation LEGG MASON FINANCIAL SERVICES, INC.

3. Street Address Principal Business Office:

No. and Street: 100 LIGHT STREET

City or Town: BALTIMORE

State: MD

Zip: 21202

Country: USA

4. Business Phone No.

8136048115

5. State of Incorporation

State: MD

6. Brief Description of the Character of Business Conducted in Rhode Island

FINANCIAL AND INSURANCE SERVICES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	STEPHEN T. MARYNOWSKI	787 7TH AVENUE NEW YORK, NY 10019 USA
VICE PRESIDENT	STEPHEN W ENOCH	700 RED BROOK BLVD OWINGS MILLS, MD 21117 USA
ASSISTANT SECRETARY	LISA A HOFFMAN	3800 CITIGROUP CENTER DRIVE TAMPA, FL 33610 USA
DIRECTOR	DONALD BENDERNAGEL	388 GREENWICH ST NEW YORK, NY 10013 USA
DIRECTOR	SCOTT L FLOOD	388 GREENWICH ST

		NEW YORK , NY 10013 USA
DIRECTOR	CLIFFORD VERRON	388 GREENWICH ST NEW YORK, NY 10013 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	5,000.00	100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 20 Day of February, 2015 at 10:33:12 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By LISA A. HOFFMAN
Signature of Authorized Representative of the Corporation

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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