



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2015

1. Corporate ID No. 000144914

2. Name of Corporation Newtek Small Business Finance, Inc.

3. Street Address Principal Business Office:

No. and Street: 60 HEMPSTEAD AVENUE  
6TH FLOOR

City or Town: WEST HEMPSTEAD State: NY Zip: 11552 Country: USA

4. Business Phone No.

5. State of Incorporation

State: NY

6. Brief Description of the Character of Business Conducted in Rhode Island

TO ORIGINATE SBA LOANS

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	PETER DOWNS	60 HEMPSTEAD AVENUE, 6TH FLOOR WEST HEMPSTEAD, NY 11552 USA
SECRETARY	BARRY SLOANE	1440 BROADWAY, 17TH FLOOR NEW YORK, NY 10018 USA
CHAIRMAN	JOHN COX	60 HEMPSTEAD AVENUE, 6TH FLOOR WEST HEMPSTEAD, NY 11552 USA
DIRECTOR	BARRY SLOANE	1440 BROADWAY, 17TH FLOOR NEW YORK, NY 10018 USA

DIRECTOR	JOHN COX	60 HEMPSTEAD AVENUE, 6TH FLOOR WEST HEMPSTEAD, NY 11552 USA
DIRECTOR	PETER DOWNS	60 HEMPSTEAD AVENUE, 6TH FLOOR WEST HEMPSTEAD, NY 11552 USA

### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	1,000,000.00	100
PWP		\$0.0100	2,000,000.00	300

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 25 Day of February, 2015 at 11:01:38 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By BARRY SLOANE  
Signature of Authorized Representative of the Corporation

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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