



AND P
Office of the Secretary of State

PROFIT CORPORATION

Filing Period: January 1-March

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

32900

2. Name of

Asce

3. Street Address Principal Business Office

400 Robert Street No

4. Business Phone No.

651-665-5966

7. Brief Description of the Character of Business Conducted in Rhode Island

Securities Dealer, Investment Adviser & Insurance Agency

8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) X FILL IN SPACES BEFORE USING ATTACHMENTS

President Name

George I. Connolly

Street Address

9860 Indigo Trail

City

Grant

State

MN

Zip

55115

Secretary Name

Margaret Milosevich

Street Address

2601 Wexford Hghts Lane

City

New Brighton

State

MN

Zip

55112

9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT)

Director Name

George I. Connolly

Street Address

9860 Indigo Trail

City

Grant

State

MN

Zip

55115

Director Name

Dennis E. Prohofsky

Street Address

755 E. Montana

City

St. Paul

State

MN

Zip

55106

10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

25,000

Class/Series

Common/None

Par Value

No Par Value

REPORT FOR THE YEAR 2001

Inc.

City

St. Paul

State

MN

Zip

55101

6. SIC Code

6064



Vice President Name

Loyall E. Wilson

Street Address

7065 Unity Avenue North

City

Brooklyn Center

State

MN

Zip

55429

Treasurer Name

Margaret Milosevich

Street Address

2601 Wexford Hghts Lane

City

New Brighton

State

MN

Zip

55112

11. SHARES ISSUED ("X" BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

100

Class/Series

Common/None

Par Value

No Par Value

City

Eagan

State

MN

Zip

55122

Director Name

Robert E. Hunstad

Street Address

1650 Blackhawk Cove

City

Eagan

State

MN

Zip

55122

Street Address

City

State

Zip



* 3 2 9 0 0 *

File Date:

2/26

Check No.:

12147594

By:

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FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Thomas L. Clark

Signature of Officer

2/16/2001

Date

Thomas L. Clark

Print or Type Name of Officer

Assistant Secretary

Title of Officer

ASCEND FINANCIAL SERVICES, INC.

Officers

02/01/2001

<u>Name and Title</u>	<u>Social Security #</u>	<u>CRD#</u>	<u>Residence Address</u>	<u>Business Address</u>
George I. Connolly President and Chief Executive Officer		1457599	9860 Indigo Trail Grant, MN 55115	400 Robert Street North St. Paul, MN 55101
Margaret P. Milosevich Vice President, Chief Operations Officer, Secretary and Treasurer		1493467	2601 Wexford Hights Lane New Brighton, MN 55112	400 Robert Street North St. Paul, MN 55101
Loyall E. Wilson Vice President and Chief Compliance Officer		1368670	7065 Unity Avenue North Brooklyn Center, MN 55429	400 Robert Street North St. Paul, MN 55101
Thomas L. Clark Assistant Secretary and Assistant Treasurer		47905	W10546 880th Avenue River Falls, WI 54022	400 Robert Street North St. Paul, MN 55101

Directors

Robert E. Hunstad	1480417	1650 Blackhawk Cove Eagan, MN 55122	400 Robert Street North St. Paul, MN 55101
George I. Connolly	1457599	9860 Indigo Trail Grant, MN 55115	400 Robert Street North St. Paul, MN 55101
Dennis E. Prohofsky	2636727	755 E. Montana St. Paul, MN 55106	400 Robert Street North St. Paul, MN 55101