

State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Limited Liability Company Annual Report

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2015

1. **ID No.** <u>000747866</u>

2. Exact Name of the Limited Liability Company GETCO Execution Services, LLC

3. State of Formation

State: DE

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

Entity was an SEC and FINRA registered ATS (alternative trading system). The entity was dissolved in Delaware on 10/16/2014. An application to withdraw was filed in RI on 6/3/2014 and is pending.

5. Principal Office Address

No. and Street: 350 N. ORLEANS STREET, STE 220

City or Town: CHICAGO State: \underline{IL} Zip: $\underline{60654}$ Country: \underline{USA}

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: KATHRYN M. SMITH Contact Title: AUTHORIZED PERSON

No. and Street: C/O KCG HOLDINGS, INC.

545 WASHINGTON BLVD., FL3

City or Town: JERSEY CITY State: NJ Zip: 07310 Country: USA

7. Name and Address of Each Manager of the Limited Liability Company, if Applicable. DO NOT LIST MEMBERS

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
MANAGER	CHARLES JOHN HERRICK	545 WASHINGTON BLVD. JERSEY CITY, NJ 07310 USA

8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER Changes Require Filing of Form 642 - R.I.G.L. 7-16-11

PROVIDENCE, RI 02914

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 10 Day of November, 2015 at 3:31:27 PM by the authorized person. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.

By KATHRYN M SMITH

Signature of Authorized Person

Form No. 632 Revised 09/07

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