



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000085270

2. Name of Corporation ADP Broker-Dealer, Inc.

3. Street Address Principal Business Office:

No. and Street: ONE ADP BOULEVARD, MS433

City or Town: ROSELAND

State: NJ Zip: 07068 Country: USA

4. Business Phone No.

5. State of Incorporation

State: NJ

6. Brief Description of the Character of Business Conducted in Rhode Island

ELECTRONIC DATA PROCESSING & RELATED ACTIVITIES.

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JAMES BLAKE	ONE ADP BLVD. ROSELAND, NJ 07068 USA
TREASURER	KELLY SENN	ONE ADP BLVD. ROSELAND, NJ 07068 USA
DIRECTOR	ALICIA ALEN	ONE ADP BLVD MS433 ROSELAND, NJ 07068 USA
DIRECTOR	MICHAEL EBERHARD	ONE ADP BLVD. ROSELAND, NJ 07068 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	100.00	100

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 14 Day of December, 2015 at 11:46:38 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MICHAEL EBERHARD  
Signature of Authorized Representative of the Corporation

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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