



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000274214

2. Name of Corporation GE Capital Markets, Inc.

3. Street Address Principal Business Office:

No. and Street: 201 MERRITT 7

City or Town: NORWALK

State: CT

Zip: 06851

Country: USA

4. Business Phone No.

203-229-1912

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES AND INVESTMENT ACTIVITIES

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

| Title          | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|----------------|------------------------------------------------|------------------------------------------------------------|
| SECRETARY      | MARK KOHLER                                    | 230 SCHILLING CIRCLE<br>HUNT VALLEY, MD 21031-8643 USA     |
| CEO            | THOMAS DAVIDSON                                | 201 MERRITT 7<br>NORWALK, CT 06854 USA                     |
| VICE PRESIDENT | ALLEN WALLACE                                  | 201 MERRITT 7<br>NORWALK, CT 06851 USA                     |
| DIRECTOR       | WILLIAM STRITTMATTER                           | 901 MAIN AVENUE<br>NORWALK, CT 06851 USA                   |

**8. Shares Authorized and Issued**

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|-------------------------------------------------------|----------------------------------------------------------------|
| STK            |                 | \$1,000.0000        | 1,000.00                                              | 0                                                              |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 5 Day of January, 2016 at 12:17:24 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By ALLEN E. WALLACE  
Signature of Authorized Representative of the Corporation

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

© 2007 - 2016 State of Rhode Island and Providence Plantations  
All Rights Reserved