



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000791873

2. Name of Corporation Upstart Network, Inc.

3. Street Address Principal Business Office:

No. and Street: 2 CIRCLE STAR WAY

City or Town: SAN CARLOS

State: CA

Zip: 94070

Country: USA

4. Business Phone No.

650-204-1000

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

Upstart operates a lending and investment platform that enables individuals to borrow money by obtaining a loan via its website and allows investors to invest in these loan via its website.

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

| Title                | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|----------------------|------------------------------------------------|------------------------------------------------------------|
| DIRECTOR & PRESIDENT | DAVE GIROUARD                                  | 2 CIRLE STAR WAY<br>SAN CARLOS, CA 94070 USA               |
| DIRECTOR & SECRETARY | ALISON NICOLL                                  | 2 CIRCLE STAR WAY<br>SAN CARLOS, CA 94070 USA              |

8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|-------------------------------------------------------|----------------------------------------------------------------|
| CWP            |                 | \$0.0001            | 25,900,000.00                                         | 120                                                            |
| PWP            | A               | \$0.0001            | 2,009,641.00                                          | 20                                                             |
| PWP            | A               | \$0.0001            | 4,701,457.00                                          | 0                                                              |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 7 Day of January, 2016 at 2:24:28 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By ALISON NICOLL  
Signature of Authorized Representative of the Corporation

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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