



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000066499

2. Name of Corporation Morgan Stanley Capital Group Inc.

3. Street Address Principal Business Office:

No. and Street: 1585 BROADWAY  
City or Town: NEW YORK State: NY Zip: 10036 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

Effects non-regulated transactions with various institutional clients in various international markets. Non-clearing member of NYMEX and executes transactions for its own account on such exchange.

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	SIMON T.W. GREENSHIELDS	1585 BROADWAY NEW YORK, NY 10036 USA
TREASURER	CELESTE MELLET BROWN	1585 BROADWAY NEW YORK, NY 10036 USA
SECRETARY	WILLIAM F. MCCOY	1585 BROADWAY NEW YORK, NY 10036 USA
CFO	ROBERT JOSEPH URGO	1585 BROADWAY NEW YORK, NY 10036 USA

VICE PRESIDENT	BRETT HUMPHREYS	1585 BROADWAY NEW YORK, NY 10036 USA
DIRECTOR	SIMON T.W. GREENSHIELDS	1585 BROADWAY NEW YORK, NY 10036 USA
DIRECTOR	MARTIN STEVEN MITCHELL	1585 BROADWAY NEW YORK, NY 10036 USA
DIRECTOR	MARY LOU PETERS	1585 BROADWAY NEW YORK, NY 10036 USA

### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100.00	100

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 16 Day of January, 2016 at 12:21:46 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MANDELINE HENDRICKS  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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