



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2016

**1. Corporate ID No.** 000161414

**2. Name of Corporation** First Allied Advisory Services, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 15455 CONWAY ROAD

City or Town: CHESTERFIELD

State: MO

Zip: 63017

Country: USA

**4. Business Phone No.**

320-656-4003

**5. State of Incorporation**

State: DE

**6. Brief Description of the Character of Business Conducted in Rhode Island**

REGISTERED INVESTMENT ADVISOR OFFERING ASSET MANAGEMENT AND FEE-BASED PLANNING SERVICES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	ROBIN RODERMUND	15455 CONWAY ROAD CHESTERFIELD, MO 63017
TREASURER	MARK PAUL SHELSON	400 1ST ST SOUTH ST CLOUD, MN 56301 USA
CFO	JANICE DOZA	15445 CONWAY RD CHESTERFIELD, MO 63017 USA
CCO	LUANNE BOROWSKI	15455 CONWAY ROAD CHESTERFIELD, MO 63017 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	1,000.00	100

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

*Signed this 2 Day of February, 2016 at 5:21:45 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MARK PAUL SHELSON  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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