



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000486361

2. Name of Corporation Securities America Advisors, Inc.

3. Street Address Principal Business Office:

No. and Street: 12325 PORT GRACE BOULEVARD

City or Town: LA VISTA

State: NE Zip: 68128 Country: USA

4. Business Phone No.

5. State of Incorporation

State: NE

6. Brief Description of the Character of Business Conducted in Rhode Island

Investment advisory, financial services and financial planning

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JAMES D NAGENGAST	12325 PORT GRACE BLVD. LA VISTA, NE 68128 USA
TREASURER	DAVID VAUGHAN JR	12325 PORT GRACE BLVD LA VISTA, NE 68128 USA
EVP/GENERAL COUNSEL/SECRETARY	KEVIN J MILLER	12325 PORT GRACE BLVD LA VISTA, NE 68128 USA
DIRECTOR	P. JANINE WERTHEIM	12325 PORT GRACE BLVD. LA VISTA, NE 68128 USA
DIRECTOR	KIRK HULETT	12325 PORT GRACE BLVD

		LA VISTA, NE 68128 USA
DIRECTOR	JAMES M HEENEY	12325 PORT GRACE BLVD LA VISTA, NE 68128 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	10,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 5 Day of February, 2016 at 1:56:46 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KEVIN J MILLER  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

© 2007 - 2016 State of Rhode Island and Providence Plantations  
All Rights Reserved