



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000799591

2. Name of Corporation nContact Surgical, Inc.

3. Street Address Principal Business Office:

No. and Street: 1001 AVIATION PARKWAY, SUITE 400

City or Town: MORRISVILLE

State: NC Zip: 27560 Country: USA

4. Business Phone No.

919-655-1350

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

SALES OF NCONTACTS CARDIAC COAGULATION DEVICE TO HOSPITALS

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	JAMES WHAYNE	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
VP OPERATIONS	SIDNEY FLEISCHMAN	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
VP FINANCE	NATHALIE GREENE	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
VP SALES AND MARKETING	EDGAR REY	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
VP REGULATORY	JANE RICUPERO	1001 AVIATION PARKWAY, SUITE 400

		MORRISVILLE, NC 27560 USA
DIRECTOR	MICHAEL ESTES	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
DIRECTOR	DENNIS DOUGHERTY	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
DIRECTOR	WILLIAM BROOKE	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
DIRECTOR	ROBERT YOUNG	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
DIRECTOR	ROBERT KAY	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA
DIRECTOR	BRUCE JAY BRUMFIELD	1001 AVIATION PARKWAY, SUITE 400 MORRISVILLE, NC 27560 USA

### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
PWP	B	\$0.0000	2,619,080.00	2619080
PWP	D	\$0.0000	4,464,726.00	4357291
PWP	D-1	\$0.0000	3,491,620.00	3001937
CWP		\$0.0000	20,696,284.00	2307489
PWP	C	\$0.0000	2,282,981.00	2282981
PWP	C-1	\$0.0000	1,289,210.00	1285181
PWP	A	\$0.0000	2,755,372.00	2755372

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 10 Day of February, 2016 at 3:50:35 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.**

By NATHALIE GREENE  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07