



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000136800

2. Name of Corporation USAA FINANCIAL ADVISORS, INC.

3. Street Address Principal Business Office:

No. and Street: 9800 FREDERICKSBURG ROAD

City or Town: SAN ANTONIO

State: TX Zip: 78288 Country: USA

4. Business Phone No.

210-498-4924

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

REGISTERED BROKER-DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	MARY STORK	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
SECRETARY	DANIEL J. MAVICO	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
AVP	DANIEL J. MAVICO	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	JASON W. DUDLEY	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
ASSISTANT SECRETARY	JAMES MUIR	9800 FREDERICKSBURG ROAD

		SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	PATRICK A. WAGEMAN	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	CHARLES K. CRAFT	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VP, CONTROLLER	KIRSTEN M. REGISTER	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
AVP, CHIEF COMPLIANCE OFFICER	DAVID H. SMITH	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
SFO, TREASURER	BRODY MERRILL	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
SVP, ASSISTANT SECRETARY	KENNETH W. SMITH	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	LARRY ROSENBERGER	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR	DANIEL S. MCNAMARA	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR	MARY STORK	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR	CHARLES K. CRAFT	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	3,000.00	1500

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 11 Day of February, 2016 at 4:45:58 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DANIEL J. MAVICO
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

