



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000056759

2. Name of Corporation PGIM, Inc.

3. Street Address Principal Business Office:

No. and Street: 751 BROAD ST.  
21ST FLOOR, ESSEX

City or Town: NEWARK State: NJ Zip: 07102 Country: USA

4. Business Phone No.

5. State of Incorporation

State: NJ

6. Brief Description of the Character of Business Conducted in Rhode Island

Has responsibility for the investment business of Prudential

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	DAVID A HUNT	655 BROAD STREET NEWARK, NJ 07102-3019 USA
TREASURER	KEVIN C BUCKLEY	751 BROAD ST, 21ST FLOOR, PLAZA NEWARK, NJ 07102-3714 USA
SECRETARY	JOHN M EWING	655 BROAD STREET NEWARK, NJ 07102-3019 USA
DIRECTOR	SCOTT L HAYWARD	2 GATEWAY CTR NEWARK, NJ 07102-5005 USA

DIRECTOR	MICHAEL K LILLARD	655 BROAD STREET NEWARK, NJ 07102-3019 USA
DIRECTOR	JURGEN MUHLHAUSER	655 BROAD STREET NEWARK, NJ 07102-3019 USA
DIRECTOR	ALLEN A WEAVER	180 N STETSON AVE, TWO PRUDENTIAL PLAZA CHICAGO, IL 60601-6710 USA
DIRECTOR	DAVID A HUNT	655 BROAD STREET NEWARK, NJ 07102-3019 USA

### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100.00	98

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 13 Day of February, 2016 at 12:50:37 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DARETH JEFFERS  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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