



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000080278

2. Name of Corporation Voya America Equities, Inc.

3. Street Address Principal Business Office:

No. and Street: ONE ORANGE WAY
City or Town: WINDSOR

State: CT Zip: 06095 Country: USA

4. Business Phone No.

866-723-4646

5. State of Incorporation

State: CO

6. Brief Description of the Character of Business Conducted in Rhode Island

Wholesale Broker-Dealer

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	DAVID PENDERGRASS	5780 POWERS FERRY RD ATLANTA, GA 30327 USA
SECRETARY	MEGAN HUDDLESTON	ONE ORANGE WAY WINDSOR, CT 06095 USA
TAX OFFICER	TERRY OWENS	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
PRESIDENT AND CEO	DAVID P. WILKEN	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
TAX OFFICER	CYNTHIA CRAYTOR	5780 POWERS FERRY ROAD NW

		ATLANTA, GA 30327 USA
ASSISTANT SECRETARY	JENNIFER OGREN	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
ASSISTANT SECRETARY	MELISSA O'DONNELL	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
VICE PRESIDENT AND ASSISTANT TREASURER	SPENCER SHELL	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
ASSISTANT SECRETARY	ANGELIA LATTERY	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
CHIEF COMPLIANCE OFFICER	REGINA GORDON	ONE ORANGE WAY WINDSOR, CT 06095 USA
CHIEF FINANCIAL OFFICER/FINANCIAL AND OPERATIONS PRINCIPAL	CYNTHIA A. GRIMM	1475 DUNWOODY DRIVE WEST CHESTER, PA 19380 USA
SENIOR VICE PRESIDENT	DAVID S. PENDERGRASS	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
TAX OFFICER	JAMES DOUGLAS ENSLEY	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
TAX OFFICER	KEITH C. WATKINS	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
VICE PRESIDENT AND CHIEF OPERATING OFFICER	LAURIE J. RASANEN	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
ASSISTANT SECRETARY	TINA SCHULTZ	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
DIRECTOR	KURT T. FASEN	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
DIRECTOR	LAURIE J. RASANEN	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
DIRECTOR	DAVID P. WILKEN	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	250,000.00	150000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 16 Day of February, 2016 at 3:10:44 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By TINA SCHULTZ
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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