



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2016

**1. Corporate ID No.** 000022990

**2. Name of Corporation** IFC Holdings, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 12750 CITRUS PARK LANE

STE 300

City or Town: TAMPA

State: FL Zip: 33625 Country: USA

**4. Business Phone No.**

517-381-5500

**5. State of Incorporation**

State: DE

**6. Brief Description of the Character of Business Conducted in Rhode Island**

SECURITIES BROKER-DEALER

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title          | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|----------------|--|--|
| PRESIDENT      | STEVE H DOWDEN                                 | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SECRETARY      | JULIA A GOATLEY                                | 1 CORPORATE WAY<br>LANSING, MI 48951 USA                   |
| VICE PRESIDENT | ALLAN AVENA                                    | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| VICE PRESIDENT | GREGORY LYERS                                  | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |

|                |                    |  |
|----------------|--------------------|--|
| VICE PRESIDENT | ADAM WAROT         | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| VICE PRESIDENT | MARK C ETTINGER    | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | BESSIE SAVERY      | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| VP, CONTROLLER | VANESSA LAMBRECHTS | 100 N SEPULVEDA BLVD, STE 1800<br>EL SEGUNDO, CA 90245 USA |
| ASST VP        | WILLIAM COPELAND   | 12750 CITRU PARK LANE, STE 300<br>TAMPA, FL 33625 USA      |
| ASST VP        | WILLIAM DEVANNEY   | 1 CORPORATE WAY<br>LANSING, MI 48951 USA                   |
| ASST VP        | BOB DOWNING        | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| ASST VP        | LINDA GILMORE      | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | RUTH BURGESS       | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | GERRY GUNDERSON    | 212 N 4TH STREET<br>BISMARCK, ND 58501 USA                 |
| VICE PRESIDENT | SCOTT FORBUSH      | 1 CORPORATE WAY<br>LANSING, MI 48951 USA                   |
| SENIOR VP      | RICHARD HART       | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| ASST VP        | ALFREDO GOMEZ      | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | JOHN BERTINO       | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | SHARON FLASPOHLER  | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| VICE PRESIDENT | ROSE DRUM          | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| CFO, SENIOR VP | MAURA COLLINS      | 7601 TECHNOLOGY WAY<br>DENVER, CO 80237 USA                |
| 1ST VP         | DAVID DION         | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| VICE PRESIDENT | DANIEL WEISS       | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | CONNIE GREGORY     | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | JEFFREY FERGUSON   | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | JOSEPH P SALOMONE  | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| SENIOR VP      | MARY SIMMONS       | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| 1ST VP         | SANDRA WHITED      | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| ASSIST VP      | TODD MANEVAL       | 1 CORPORATE WAY<br>LANSING, MI 48951 USA                   |
| SENIOR VP      | SHELLY MEGNA       | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA     |
| DIRECTOR       | GREG CICOTTE       | 300 INNVOATION DRIVE<br>FRANKLIN, TN 37067 USA             |

|          |                    |  |
|----------|--------------------|--|
| DIRECTOR | MICHAEL A COSTELLO | 1 CORPORATE WAY<br>LANSING, MI 48951 USA               |
| DIRECTOR | STEVE H DOWDEN     | 12750 CITRUS PARK LANE, STE 300<br>TAMPA, FL 33625 USA |

#### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|---|--|
| CWP            |                 | \$1.0000            | 23,000.00   | 20042  |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 22 Day of February, 2016 at 11:42:49 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JULIA A. GOATLEY  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

© 2007 - 2016 State of Rhode Island and Providence Plantations  
All Rights Reserved