



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2016

**1. Corporate ID No.** 000157166

**2. Name of Corporation** The Roosevelt Investment Group, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 4 RICHMOND SQUARE, SUITE 500

City or Town: PROVIDENCE

State: RI Zip: 02906 Country: USA

**4. Business Phone No.**

646-452-6700

**5. State of Incorporation**

State: NY

**6. Brief Description of the Character of Business Conducted in Rhode Island**

Back office for Financial Services Company

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
CIO	ARTHUR H SHEER	140 PITMAN STREET PROVIDENCE, RI 02906 USA
CO-CEO	DAVID SHEER	59 BLACKSTONE BLVD PROVIDENCE, RI 02906 USA
CO-CEO	ADAM SHEER	424 WEST END AVE, APT 18F NEW YORK, NY 10024 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
STK		\$0.0000	11,966.00	1252370

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 24 Day of February, 2016 at 1:01:33 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DAVID SHEER  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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