

State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000539900

2. Name of Corporation Mesirow Financial, Inc.

3. Street Address Principal Business Office:

No. and Street: 353 N. CLARK STREET

City or Town: CHICAGO State: IL Zip: 60654 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

General business

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address		
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country		
PRESIDENT	BRUCE J. YOUNG	353 N. CLARK STREET CHICAGO, IL 60654 USA		
TREASURER	RANDALL S. ROYER	353 N. CLARK STREET CHICAGO, IL 60654 USA		
SECRETARY	DENNIS B. BLACK	353 N. CLARK STREET CHICAGO, IL 60654 USA		
CFO	KRISTIE P. PASKVAN	353 N. CLARK STREET CHICAGO, IL 60654 USA		
DIRECTOR	BRUCE J. YOUNG	353 N. CLARK STREET		

		CHICAGO, IL 60654 USA	
DIRECTOR	KRISTIE P. PASKVAN	353 N. CLARK STREET CHICAGO, IL 60654 USA	
DIRECTOR	RICHARD S. PRICE	353 N. CLARK STREET CHICAGO, IL 60654 USA	

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP	Α	\$1.0000	1,000.00	500
CWP	В	\$1.0000	1,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 29 Day of February, 2016 at 5:00:24 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By **DENNIS B. BLACK**

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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