



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000271999

2. Name of Corporation ProThera Biologics, Inc.

3. Street Address Principal Business Office:

No. and Street: 349 EDDY STREET

City or Town: PROVIDENCE State: RI Zip: 02903 Country: USA

4. Business Phone No.

401-301-2046

5. State of Incorporation

State: RI

6. Brief Description of the Character of Business Conducted in Rhode Island

RESEARCH AND DEVELOPMENT. ANY LAWFUL PURPOSE

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	DENICE SPERO	349 EDDY STREET PROVIDENCE, RI 02903 USA
TREASURER	DENICE SPERO	349 EDDY STREET PROVIDENCE, RI 02903 USA
SECRETARY	DENICE SPERO	349 EDDY STREET PROVIDENCE, RI 02903 USA
CEO	YOW-PIN LIM	349 EDDY STREET PROVIDENCE, RI 02903 USA

CBO	DENICE SPERO	349 EDDY STREET PROVIDENCE, RI 02903 USA
DIRECTOR	RICHARD G. HORAN	3 DAVOL SQUARE # A301 PROVIDENCE, RI 02903 USA
DIRECTOR	PIERRE LAURIN	PROMETIC, 440 BOUL. ARMAND-FRAPPIER, SUITE 300 LAVAL QUEBEC, H7V 4B4 CAN
DIRECTOR	RICHARD ANDREWS	349 EDDY STREET PROVIDENCE, RI 02903 USA
DIRECTOR	DOUGLAS HIXSON	349 EDDY STREET PROVIDENCE, RI 02903 USA
DIRECTOR	YOW-PIN LIM	349 EDDY STREET PROVIDENCE, RI 02903 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0010	3,000,000.00	1144994
PWP	A	\$0.0010	10,000.00	5000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 1 Day of March, 2016 at 12:23:41 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DENICE SPERO
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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