



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State - Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

Phone: (401) 222-3040 ~ Email: corporations@sos.ri.gov ~ Website: www.sos.ri.gov

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2015

Filing Period: January 1 - March 1 • This report must be typed or printed legibly.

Filing Fee: \$50.00 • FAILURE TO FILE THIS REPORT BY MARCH 31 WILL RESULT IN A \$25.00 PENALTY FEE.

1. Entity ID No. 000093730		2. Exact name of the Corporation VALIC Financial Advisors, Inc.			
3. Principal office address 2929 Allen Parkway		City Houston	State TX	Zip 77019	
4. Business Phone No. 713-831-3014		5. State of Incorporation Texas			
6. Brief description of the character of business conducted in Rhode Island Retirement Services Provider.					
7. LIST ALL OFFICERS (NAMES AND ADDRESSES) ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>					
President Name See Attached		Vice-President Name			
Street Address		Street Address			
City	State	Zip	City	State	Zip
Secretary Name		Treasurer Name			
Street Address		Street Address			
City	State	Zip	City	State	Zip
8. LIST ALL DIRECTORS (NAMES AND ADDRESSES) ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>					
Director Name See attached		Director Name			
Street Address		Street Address			
City	State	Zip	City	State	Zip
Director Name		Director Name			
Street Address		Street Address			
City	State	Zip	City	State	Zip
9. SHARES AUTHORIZED		10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>			
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of Instruction sheet.		NUMBER OF SHARES	CLASS/SERIES	PAR VALUE	
		1,000	COMMON	1.00	

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date

Check No

By:

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Authorized Representative

Date

Julie Cotton Hearne
Print or Type Name of Authorized Representative

FILED

MAR 17 2016

202315
A.A. 12:00 p.m.

3-8-16

AS OF SEPTEMBER 1, 2015

VALIC FINANCIAL ADVISORS, INC.

BOARD OF DIRECTORS

Cynthia Burnette
David Harrison
Shawn Duffy

OFFICERS

Name:	Title:
Shawn Duffy	President and Chief Executive Officer
Cynthia Burnette	Chief Financial Officer and Treasurer
Craig Cheyne	Senior Vice President
Albert S. Johnson	Senior Vice President & Chief Compliance Officer
Gary L. Grigsby	Deputy Chief Compliance Officer
Noah D. Sorkin	Senior Vice President and General Counsel
Stephen M. Hughes	Senior Vice President
Daniel Alexander	Vice President
Todd Buchanan	Vice President
David Harrison	Vice President
Gilliane E. Isabelle	Vice President
David R. Lyle	Vice President
Keith A. Poch	Vice President
Kathy L. Rosado	Vice President
Joan Simpson	Vice President
Allen Thomas	Vice President
Kevin Toledano	Vice President
Thomas M. Ward	Vice President
Carol Ritter	Director of Operations
Julie Cotton Hearne	Secretary
Virginia N. Puzon	Assistant Secretary
Paula G. Payne	Assistant Secretary
Linda L. Pinney	Assistant Treasurer
Paul Hoepfl	Assistant Vice President
Mary Linda Cragar	Administrative Officer
Ginger Evans	Administrative Officer
Brian Silver	Administrative Officer
Daniel R. Cricks	Tax Officer

Address for all officers and directors:
2929 Allen Parkway
Houston, TX 77019