**State of Rhode Island and Providence Plantations**
**Office of the Secretary of State**

**Fee: $50.00**

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

## Domestic Limited Liability Company
## Fictitious Business Name Statement

(Section 7-16-9 of the General Laws of Rhode Island, 1956, as amended)

**SECTION I**

The legal name of the applicant limited liability company is: Eliot Rose Asset Management, LLC

**SECTION II**

The fictitious business name to be used is: Eliot Rose Wealth Management, LLC

**SECTION III**

The state or territory under the laws of which it is organized is
State: RI Country: USA

**SECTION IV**

The date of organization is 04/08/2002

**Signed this 31 Day of March, 2016 at 4:35:00 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

Eliot Rose Asset Management, LLC
Name of Applicant Limited Liability Company

GARY S. SIPERSTEIN
Signature of Authorized Person

Form No. 624
Revised 09/07

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State of Rhode Island and Providence Plantations
**Department of State | Office of the Secretary of State**
**Nellie M. Gorbea,** *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island and Providence Plantations, hereby certify that this document, duly executed in accordance with the provisions of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this office on this day:

March 31, 2016 4:32 PM

Nellie M. Gorbea
*Secretary of State*

0-3818-0