

Filing and License Fee: \$310.00 minimum



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Division of Business Services
148 W. River Street
Providence, Rhode Island 02904-2615

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

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2016 APR -5 AM 9:57

Pursuant to the provisions of Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

- 1. The name of the corporation is Bergmann Engineering Associates, Inc.
2. It is incorporated under the laws of Pennsylvania
3. The name, if different, which it elects to use in Rhode Island is:
(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited" or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:
(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:
4. The date of its incorporation is 11/13/2013 and the period of its duration is perpetual.
5. The address of its principal office is 181 Washington Street, Suite 430, Conshohocken, PA 19428
6. The address of its proposed registered office in Rhode Island is 222 Jefferson Boulevard (Street Address, not P.O. Box)
Warwick, RI 02888 and the name of its proposed registered agent in Rhode Island at (City/Town) (Zip Code)
that address is NATIONAL CORPORATE RESEARCH, LTD. (Name of Agent)
7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:
To provide engineering design services.

8. (a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

Table with 2 columns: Name, Address. Includes handwritten entries for Director names and addresses, and a 'FILED' stamp.

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(b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
President	<u>Thomas C. Mitchell</u>	<u>200 First Federal Plaza, 28 E. Main St., Rochester, NY 14614</u>
Vice President	<u>Pietro V. Gioenco</u>	<u>200 First Federal Plaza, 28 E. Main St., Rochester, NY 14614</u>
Treasurer	<u>Thomas C. Mitchell</u>	<u>200 First Federal Plaza, 28 E. Main St., Rochester, NY 14614</u>
Secretary	<u>Pietro V. Gioenco</u>	<u>200 First Federal Plaza, 28 E. Main St., Rochester, NY 14614</u>

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
120			Shares are without Par Value

10. (a) \$ 5,250,000 = An estimate of the value of all property to be owned by the corporation for the following year, wherever located.

(b) \$ 0 = An estimate of the value of the corporation's property to be located within Rhode Island during the following year.

(c) 0 % = An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. {divide (b) by (a) and multiply by 100 to obtain the percentage}

11. (a) \$ 65,851,000 = An estimate of the gross amount of business to be transacted by the corporation during the following year.

(b) \$ 40,000 = An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year.

(c) 0.1 % = An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year. {divide (b) by (a) and multiply by 100 to obtain the percentage}

12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.

13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing _____.

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: 4/1/2016



 Signature of Authorized Officer of the Corporation

Thomas Mitchell

 Type or Print Name of Authorized Officer



State of Rhode Island and Providence Plantations
DEPARTMENT OF BUSINESS REGULATION
1511 Pontiac Avenue, Bldg. 68-2
Cranston, Rhode Island 02920

Division of
Design
Professionals

March 25, 2016

Bergmann Engineering Associates Inc.
181 Washington St., Ste. 430
Conshohocken, PA 19428

Dear Sir/Madam:

Your request for issuance of a Certificate of Authorization has been reviewed and **pre-approved**, in the Electrical discipline(s) only, but the Board of Registration for Professional Engineers.

You can amend your Certificate of Authorization at a later date if you wish to include other disciplines. In accordance with the procedures adopted by this Board, **you are requested to provide the following information:**

The document required by the Board is a **CERTIFICATE OF GOOD STANDING**, not Certificate of Authority, issued by the **Rhode Island Secretary of State's Office**, indicating that at the present time your corporate entity is in good standing insofar as registration procedures required by the Secretary of State's Office by calling (401) 222-3040. Ask for corporations and explain that you need the necessary papers to become registered in the State of Rhode Island.

Please be advised that until receipt of this CERTIFICATE OF GOOD STANDING your application is considered incomplete and you are not authorized to practice engineering in the State of Rhode Island.

As a cautionary reminder, please be advised that until such time that your company holds a certificate of authorization, your firm may not practice or offer to practice engineering within the State of Rhode Island. The offer to practice engineering includes specifically bidding upon projects or responding to requests for proposals for engineering work to be performed within the State of Rhode Island.

Very truly yours,

Board of Registration for Professional Engineers

Christopher A. Duhamel, PE, PLS
Secretary

CD/lm

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COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

03/18/2016

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

Bergmann Engineering Associates, Inc.

is duly registered as a Pennsylvania Business Corporation under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Subsistence Certificate shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Pedro A. Contis

Secretary of the Commonwealth

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Certification Number: TSC160318131373-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify.aspx>



State of Rhode Island and Providence Plantations
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly executed in
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as
amended, has been filed in this office on this day:

A handwritten signature in blue ink, appearing to read "Nellie M. Gorbea". The signature is fluid and cursive, written in a professional style.

Nellie M. Gorbea
Secretary of State

