| s s   | tate of Rhode Island and Pro<br>Office of the Secreta       |                                     | Fee: \$50.00  |
|---|---|-------------------------------------|---------------|
|   | Division Of Business<br>148 W. River S<br>Providence RI 029 | treet                               |               |
| (401) 222-3040  |   |                                     |               |
| Limited Liability Com   | pany  |                                     |               |
| Annual Report<br>Filing Period: September 1   | - November 1  |                                     |               |
| In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00. |   |                                     |               |
| ANNUAL REPORT YEAR: 2016  |   |                                     |               |
| 1. ID No. <u>000518694</u>  |   |                                     |               |
| 2. Exact Name of the Limited Liability Company Ocean Point Capital Management, LLC  |   |                                     |               |
| 3. State of Formation   |   |                                     |               |
| State: <u>DE</u>  |   |                                     |               |
| ARTICLE III   |   |                                     |               |
| Using the following NAICS codes, please select the code that best describes your business.  |   |                                     |               |
| NAICS Code  |   | <u> </u>                            |               |
| 4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island   |   |                                     |               |
| INVESTMENT CONSULTING   |   |                                     |               |
| 5. Principal Office Addre   | SS  |                                     |               |
| No. and Street: <u>C/O CRAIG M. DECESARE</u>  |   |                                     |               |
| <u>378 MAIN STREET - SUITE 201</u> City or Town: <u>EAST GREENWICH</u> State: <u>RI</u> Zip: <u>02818</u> Country: <u>USA</u>   |   |                                     |               |
| 6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:  |   |                                     |               |
| Contact Name: <u>CRAIG DECESARE</u> Contact Title:<br>No. and Street: <u>378 MAIN STREET</u><br>SUITE 201   |   |                                     |               |
| City or Town: <u>EAST GREENWICH</u> State: <u>RI</u> Zip: <u>02818</u> Country: <u>USA</u>  |   |                                     |               |
| 7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.<br>DO NOT LIST MEMBERS   |   |                                     |               |
| Title   | Individual Name   | Address                             |               |
|   | First, Middle, Last, Suffix                                 | Address, City or Town, State, Zip C | Code, Country |
| 1   |   |                                     |               |

## 8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER Changes Require Filing of Form 642 - R.I.G.L. 7-16-11

<u>CRAIG M. DECESARE</u> <u>ONE FINANCIAL PLAZA SUITE 1520 C/O CRAIG DECESARE</u> <u>PROVIDENCE</u>, <u>RI</u> <u>02903</u>

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

**Signed this 9 Day of September, 2016 at 11:57:53 AM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.* 

By CRAIG DECESARE

Signature of Authorized Person

Form No. 632 Revised 09/07

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