



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2016

**1. Corporate ID No.** 000057697

**2. Name of Corporation** VANGUARD CAPITAL

**3. Street Address Principal Business Office:**

No. and Street: 153 25TH STREET

City or Town: DEL MAR

State: CA

Zip: 92014

Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: CA

**6. Brief Description of the Character of Business Conducted in Rhode Island**

GENERAL SECURITIES BROKER DEALER, REGISTERED INVESTMENT ADVISOR

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	GREGORY SERRAS	153 25TH STREET DEL MAR, CA 92014 USA
SECRETARY	GREGORY SERRAS	153 25TH STREET DEL MAR, CA 92014 USA
TREASURER	GREGORY SERRAS	153 25TH STREET DEL MAR, CA 92014 USA
DIRECTOR	GREGORY SERRAS	153 25TH STREET DEL MAR, CA 92014 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	1,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 21 Day of September, 2016 at 2:04:25 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By GREGORY SERRAS  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

© 2007 - 2016 State of Rhode Island and Providence Plantations  
All Rights Reserved