



State of Rhode Island and Providence Plantations

**Department of State - Business Services Division**

**Certificate of Authority**

**FOREIGN Corporation**

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| 1. The name of the corporation is:<br>World Compliance, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |  |
| 2. It is incorporated under the laws of: Florida                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |  |
| 3. The name, if different, which it elects to use in Rhode Island is:<br>(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:<br><br>(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application: |  |  |
| 4. The date of its incorporation is: 01/25/2002<br>And the period of its duration is: <b>CHECK ONLY ONE BOX</b><br><input checked="" type="checkbox"/> Perpetual (on-going)<br><input type="checkbox"/> Date certain for dissolution _____                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |
| 5. The address of its principal office is:<br>1000 Alderman Dr, Alpharetta, GA 30005                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |  |  |
| 6. The name and address of the initial registered agent/office of in Rhode Island:<br>Agent Name C T Corporation System<br>Street Address (NOT a P.O. Box) 450 Veterans Memorial Parkway, Suite 7A<br>City/Town East Providence State RHODE ISLAND Zip Code 02914                                                                                                                                                                                                                                                                                                                                                                                                         |  |  |

**MAIL TO:**  
**Division of Business Services**  
148 W. River Street, Providence, Rhode Island 02904-2615  
**Phone:** (401) 222-3040  
**Website:** www.sos.ri.gov

**FILED**

OCT 03 2016

BY

CH 285004

FORM 150 Revised 05/2016

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:  
 Compliance solutions provider Notwithstanding the foregoing, the purpose of the corporation is to engage in any lawful act or activity for which corporations may be organized to do business under the laws of its jurisdiction of incorporation.

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

| NAME | ADDRESS |
|------|---------|
|      |         |
|      |         |
|      |         |
|      |         |

Check the box to indicate an attachment. ☒

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

| OFFICE         | NAME | ADDRESS |
|----------------|------|---------|
| PRESIDENT      |      |         |
| VICE PRESIDENT |      |         |
| TREASURER      |      |         |
| SECRETARY      |      |         |

Check the box to indicate an attachment. ☒

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

| NUMBER OF SHARES | CLASS  | SERIES | PAR VALUE OR STATE NO PAR VALUE |
|------------------|--------|--------|---------------------------------|
| 1,000            | Common |        | \$0.0100                        |
|                  |        |        |                                 |
|                  |        |        |                                 |
|                  |        |        |                                 |

10. (a) Estimate, in dollars, the value of all property to be owned by the corporation for the following year, wherever located:

\$ 455,000

(b) Estimate, in dollars, the value of the corporation's property to be located within Rhode Island during the following year:

\$ 0

(c) Estimate, as a percentage, the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. Note: Divide (10b) by (10a) and multiply by 100 to obtain the percentage.

0.0000 %

|                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 11. (a) Estimate, in dollars, the gross amount of business to be transacted by the corporation during the following year.<br><br><div style="text-align: center;">\$ <u>19,209,000</u></div>                                                                                                                                                                                                                                                                 | (b) Estimate, in dollars, the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year.<br><br><div style="text-align: center;">\$ <u>522,000</u></div> |
| (c) Estimate, <b>as a percentage</b> , the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. <i>Note: Divide (11b) by (11a) and multiply by 100 to obtain the percentage.</i><br><br><div style="text-align: center;"><u>2.7175</u> %</div> |                                                                                                                                                                                                                                     |
| 12. This application must be accompanied by a Certificate of Good Standing/Letter of Status issued by the proper officer of the state or country under the laws of which it is incorporated that is dated within 60 days of the filing of this document.                                                                                                                                                                                                     |                                                                                                                                                                                                                                     |
| 13. Date when the Certificate of Authority will be effective: <b>CHECK ONLY ONE BOX</b>                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                     |
| <input checked="checked" type="checkbox"/> Date received (Upon filing)                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                     |
| <input type="checkbox"/> Later effective date (Date must be no more than 90 days from the day of filing) _____                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                     |
| <i>Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.</i>                                                                                                                                                                                                                             |                                                                                                                                                                                                                                     |
| Type or Print Name of Authorized Officer<br>Renee Simonton, Vice President                                                                                                                                                                                                                                                                                                                                                                                   | Date<br><div style="text-align: center; font-size: 1.2em;">9/30/16</div>                                                                                                                                                            |
| Signature of Authorized Officer of the Corporation<br><div style="text-align: center; font-family: cursive; font-size: 1.2em;">Renee Simonton</div>                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                     |

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email [corporations@sos.ri.gov](mailto:corporations@sos.ri.gov).

FORM 150 - Revised 04/2016

## Director and Officers Report - Work Address World Compliance, Inc.

---

### Company Name

1 World Compliance, Inc.

### Appointments

| Name                        | Appointed as        | Work address                                                           |
|-----------------------------|---------------------|------------------------------------------------------------------------|
| Kenneth Eugene Fogarty      | Director            | 313 Washington Street, Suite 400, Newton, MA, 02458, US                |
| Julie Ann Goldweitz         | Director            | 230 Park Avenue, 7th Floor, New York NY 10169, United States           |
| Hugh M. Jones IV            | Director            | 1007 Church Street, 6th Floor, Evanston IL 60201, United States        |
| Mark Vickers Kelsey         | Director            | 1000 Alderman Drive, Alpharetta GA 30005, United States                |
| Meredith Levin Sidewater    | Director            | 1000 Alderman Drive, Alpharetta GA 30005, United States                |
| Kenneth Robert Thompson, II | Director            | 9443 Springboro Pike, Miamisburg OH 45342, United States               |
| Richard Edward Trainor      | Director            | 1000 Alderman Drive, Alpharetta GA 30005, United States                |
| Mark Vickers Kelsey         | President           | 1000 Alderman Drive, Alpharetta GA 30005, United States                |
| Renee Simonton              | Vice President      | 1105 N. Market Street, Fifth Floor, Wilmington DE 19801, United States |
| Peter Francis Dangoia       | Vice President-Tax  | 313 Washington Street, Suite 400, Newton, MA, 02458, US                |
| Meredith Levin Sidewater    | Secretary           | 1000 Alderman Drive, Alpharetta GA 30005, United States                |
| Kenneth Eugene Fogarty      | Treasurer           | 313 Washington Street, Suite 400, Newton, MA, 02458, US                |
| Renee Simonton              | Assistant Secretary | 1105 N. Market Street, Fifth Floor, Wilmington DE 19801, United States |
| Peter Francis Dangoia       | Assistant Treasurer | 313 Washington Street, Suite 400, Newton, MA, 02458, US                |
| Michele Lee DeMarco         | Assistant Treasurer | 313 Washington Street, Suite 400, Newton, MA, 02458, US                |
| Lynn Marie Formica          | Assistant Treasurer | 313 Washington Street, Suite 400, Newton, MA, 02458, US                |
| Mary Ann Horgan             | Assistant Treasurer | 313 Washington Street, Suite 400, Newton, MA, 02458, US                |

# *State of Florida*

## *Department of State*

I certify from the records of this office that WORLD COMPLIANCE, INC. is a corporation organized under the laws of the State of Florida, filed on January 25, 2002.

The document number of this corporation is P02000008774.

I further certify that said corporation has paid all fees due this office through December 31, 2016, that its most recent annual report/uniform business report was filed on January 26, 2016, and that its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

*Given under my hand and the  
Great Seal of the State of Florida  
at Tallahassee, the Capital, this  
the Third day of October, 2016*



*Ken Detjen*  
**Secretary of State**

RECEIVED  
R.I. DEPT. OF STATE  
BUS. SVCS. DIV.  
2016 OCT - 3 PM 2:02

Tracking Number: CU6754745122

To authenticate this certificate, visit the following site, enter this number, and then follow the instructions displayed.

<https://services.sunbiz.org/Filings/CertificateOfStatus/CertificateAuthentication>



State of Rhode Island and Providence Plantations  
**Department of State | Office of the Secretary of State**  
**Nellie M. Gorbea**, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly executed in  
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as  
amended, has been filed in this office on this day:

Nellie M. Gorbea  
*Secretary of State*

