



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Limited Liability Company
Annual Report

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. ID No. 000717445

2. Exact Name of the Limited Liability Company EARLY WARNING SERVICES, LLC

3. State of Formation

State: DE

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code 518210

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

PROVIDE RISK MANAGEMENT OPERATING SOLUTIONS TO PREVENT FRAUD & RISK.

5. Principal Office Address

No. and Street: 16552 N. 90TH STREET, SUITE 100

City or Town: SCOTTSDALE

State: AZ Zip: 85260 Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: Contact Title:

No. and Street: 16552 N. 90TH STREET, SUITE 100

City or Town: SCOTTSDALE

State: AZ Zip: 85260 Country: USA

7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.
DO NOT LIST MEMBERS

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
MANAGER	PAUL FINCH	EARLY WARNING SERVICES, LLC, 16552 N 90TH STREET SCOTTSDALE, AZ 85260 USA
MANAGER	MARY HARMAN	BANK OF AMERICA, N.A., 1 BRYANT PARK

		NEW YORK, NY 10036 USA
MANAGER	WILLIAM WALLACE	JP MORGAN CHASE BANK, 201 N. WALNUT STREET WILMINGTON, DE 19801 USA
MANAGER	KLEBER SANTOS	CAPITAL ONE, 1680 CAPITAL ONE DRIVE MCLEAN, VA 22102 USA
MANAGER	SHAILESH KOTWAL	U.S. BANK, N.A. 800 NICOLLET MALL, SUITE 2300 MINNEAPOLIS, MN 55402 USA
MANAGER	MICHAEL LYONS	PNC BANK, N.A. 300 FIFTH AVENUE PITTSBURG, PA 15222 USA
MANAGER	ED KADLETZ	WELLS FARGO BANK, N.A. 150 SOUTH FIFTH STREET, SUITE 27 MINNEAPOLIS, MN 55402 USA
MANAGER	SCOTT QUALLS	BRANCH BANKING & TRUST CO. 3200 BEECHLEAF COURT, 6TH FL RALEIGH, NC 27604 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

NATIONAL CORPORATE RESEARCH, LTD. 222 JEFFERSON BOULEVARD WARWICK , RI 02888

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 5 Day of October, 2016 at 3:43:27 PM by the authorized person. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By PAUL FINCH
Signature of Authorized Person

Form No. 632
Revised 09/07

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