

The image is the official seal of the State of Rhode Island. It features a shield with a central anchor. Above the shield is a banner with the words "HOPE" and "Rhode Island". Below the shield is another banner with the word "HOPE". The shield itself contains the text "STATE OF RHODE ISLAND" and "1790".

Office of the Secretary of State
Division of Business Services
148 W. River Street
Providence, Rhode Island 02904-2615

APPLICATION FOR CERTIFICATE OF AUTHORITY

RECEIVED
R.I. DEPT. OF STATE
BUS SVCS DIV
2016 NOV 22 AM 10:40

1. The name of the corporation is Axis Capital, Inc.
2. It is incorporated under the laws of Nebraska
3. The name, if different, which it elects to use in Rhode Island is:

4. The date of its incorporation is 12/23/2002 and the period of its duration is Perpetual

5. The address of its principal office is 308 N. Locust St. STE 100, Grand Island, NE 68802

6. The address of its proposed registered office in Rhode Island is 222 Jefferson Blvd., Suite 200
(Street Address, not P.O. Box)

	<u>Name</u>	<u>Address</u>
Director	Please see attached	
Director		
Director		
Director		

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(b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
President	<u>Malia Du Mont</u>	<u>One Lexington Ave., Suite 1101, White Plains, NY, 10604</u>
Vice President	<u>Andrea Zana</u>	<u>One Lexington Ave., Suite 1101, White Plains, NY 10601</u>
Treasurer	<u>Shauna Heckathorn</u>	<u>308 N. Locust St., STE 100, Grand Island, NE 68802</u>
Secretary	<u></u>	<u></u>

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
<u>10,000</u>	<u>Common</u>	<u></u>	<u>\$1</u>
<u>8,389</u>	<u>Preferred</u>	<u></u>	<u>\$10,000</u>
<u></u>	<u></u>	<u></u>	<u></u>

10. (a) \$ 285,734,900 = An estimate of the value of all property to be owned by the corporation for the following year, wherever located.
- (b) \$ 1,600,000 = An estimate of the value of the corporation's property to be located within Rhode Island during the following year.
- (c) .56 % = An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. {divide (b) by (a) and multiply by 100 to obtain the percentage}
11. (a) \$ 35,400,000 = An estimate of the gross amount of business to be transacted by the corporation during the following year.
- (b) \$ 120,000 = An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year.
- (c) .34 % = An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year. {divide (b) by (a) and multiply by 100 to obtain the percentage}
12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.
13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing Immediately.

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.



Signature of Authorized Officer of the Corporation

Shauna Heckathorn

Type or Print Name of Authorized Officer

Date: 11/17/2016

Directors

Cecilia Park, One Lexington Ave., Suite 1101, White Plains, NY 10601

Shauna Heckathorn, 308 N. Locust St. STE 100, Grand Island, NE 68802

Gordon Glade, 308 N. Locust St. STE 100, Grand Island, NE 68802

Mostafiz ShahMohammed, One Lexington Ave., Suite 1101, White Plains, NY 10601

Kalyan Makam, One Lexington Ave., Suite 1101, White Plains, NY 10601

Alison Mason, One Lexington Ave., Suite 1101, White Plains, NY 10601

STATE OF NEBRASKA

United States of America, } ss.
State of Nebraska }

Secretary of State
State Capitol
Lincoln, Nebraska

I, John A. Gale, Secretary of State of the
State of Nebraska, do hereby certify that

AXIS CAPITAL, INC.

**incorporated on December 23, 2002 and is duly incorporated under the law of
Nebraska;**

**that no occupation taxes due from and assessable against the Corporation are
unpaid and have become delinquent;**

**that no annual or biennial report required to be forwarded by the
Corporation to the Secretary of State has become delinquent;**

that Articles of Dissolution have not been filed.

*This certificate is not to be construed as an endorsement,
recommendation, or notice of approval of the entity's financial
condition or business activities and practices.*

In Testimony Whereof,

I have hereunto set my hand and
affixed the Great Seal of the
State of Nebraska on this date of

October 20, 2016



John A. Gale
Secretary of State

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State of Rhode Island and Providence Plantations
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly executed in
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as
amended, has been filed in this office on this day:

Nellie M. Gorbea
Secretary of State

