State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: $50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of $25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000083994

2. Name of Corporation Lombard International Distribution Company

3. Street Address Principal Business Office:

No. and Street: ONE LIBERTY PLACE
1650 MARKET STREET, 54TH FLOOR
City or Town: PHILADELPHIA State: PA Zip: 19103 Country: USA

4. Business Phone No.

484-530-4800

5. State of Incorporation

State: DE

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code 6 52

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER/DEALER.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	MICHELLE J ROSS	1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA

0-1493-0

TREASURER	TODD R. MILLER	1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
VICE PRESIDENT	KEN P KILBANE	1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
VICE PRESIDENT	KENT C KEIM	1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
ASST. COMPLIANCE OFFICER	HARLEY W MISSON	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
VICE PRESIDENT	JOSEPH A FILLIP	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
DIRECTOR	JOHN K. HILLMAN	1650 MARKET ST, 54TH FLOOR PHILADELPHIA, PA 19103 USA
DIRECTOR	JAMES HOM	1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares *Number of Shares*	Total Issued and Outstanding *Num of Shares*
CWP		$25.0000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 19 Day of December, 2016 at 3:45:18 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By HARLEY W. MISSON
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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