



State of Rhode Island and Providence Plantations  
Department of State - Business Services Division

**Application for Registration**  
**FOREIGN Limited Liability Company**  
→ Filing Fee: \$150.00

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Pursuant to the provisions of RIGL 7-16-49, the undersigned foreign limited liability company hereby applies for a Certificate of Registration to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the limited liability company is:		
JPMorgan Chase Holdings LLC		
Is this company organized in its state or country of formation as a low-profit limited liability company? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
The name, if different, under which it proposes to register and transact business in Rhode Island is:		
2. The LLC is organized under the laws of: Delaware		
3. The date of its organization is: September 1, 2016		
And the period of its duration is: CHECK ONLY ONE BOX		
<input checked="" type="checkbox"/> Perpetual (on-going)		
<input type="checkbox"/> Date certain for dissolution _____		
4. The name and address of the resident agent/office in Rhode Island is:		
Agent Name C T Corporation System		
Street Address (NOT a P.O. Box) 450 Veterans Memorial Highway, Suite 7A		
City/Town East Providence	State RHODE ISLAND	Zip Code 02914
5. The Department of State is appointed the agent of the foreign limited liability company for service of process if at any time there is no resident agent or if the resident agent cannot be found or served following the exercise of reasonable diligence.		
6. The address of any office required to be maintained in the state or other jurisdiction under the laws of which the limited liability company is organized is:		
1209 Orange Street, Wilmington, DE 19801		

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FILED

DEC 27 2016

By 291744 KUH

MAIL TO:  
Division of Business Services  
148 W. River Street, Providence, Rhode Island 02904-2615  
Phone: (401) 222-3040  
Website: www.sos.ri.gov

7. The mailing address for the limited liability company is:	
270 Park Avenue, New York, New York 10017	
8. Management of the Limited Liability Company:	
The limited liability company is managed:	
<input type="checkbox"/> By its members (If you have checked this box, go to Section 9. (DO NOT fill out the chart below.)	
<input checked="" type="checkbox"/> By one (1) or more managers (List managers below)	
MANAGER	ADDRESS
James Dimon	270 Park Avenue, New York, New York 10017
Linda B. Bammann	270 Park Avenue, New York, New York 10017
James A. Bell	270 Park Avenue, New York, New York 10017
Crandall C. Bowles	270 Park Avenue, New York, New York 10017
9. This application is accompanied by a Certificate of Good Standing/Letter of Status issued by the proper officer of the state or country under the laws of which it is formed that is dated within 60 days of the filing of this document.	
10. Date when this application for Certificate of Registration will be effective: <b>CHECK ONLY ONE BOX</b>	
<input type="checkbox"/> Date received (Upon filing)	
<input checked="" type="checkbox"/> Later effective date (Date must be no more than 30 days from the day of filing) <u>January 1, 2017</u>	
Under penalty of perjury, I declare and affirm that I have examined this Application for Registration, including any accompanying attachments, and that all statements contained herein are true and correct.	
Type or Print Name of LLC	Date
JPMorgan Chase Holdings LLC	12-22-2016
Signature of Authorized Person	
SIGN DOCUMENT HERE	

**Addendum to Section 8 of the Rhode Island Application for Registration for JPMorgan Chase Holdings LLC**

<b>Managers</b>	<b>Address</b>
Stephen B. Burke	270 Park Avenue, New York, New York 10017
Todd A. Combs	270 Park Avenue, New York, New York 10017
James S. Crown	270 Park Avenue, New York, New York 10017
Timothy P. Flynn	270 Park Avenue, New York, New York 10017
Laban P. Jackson, Jr.	270 Park Avenue, New York, New York 10017
Michael A. Neal	270 Park Avenue, New York, New York 10017
Lee R. Raymond	270 Park Avenue, New York, New York 10017
William C. Weldon	270 Park Avenue, New York, New York 10017

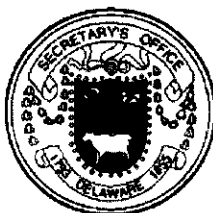
# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "JPMORGAN CHASE HOLDINGS LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTIETH DAY OF DECEMBER, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL FRANCHISE TAXES HAVE BEEN ASSESSED TO DATE.



6138543 8300

SR# 20167171585

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature of Jeffrey W. Bullock in black ink, written over a horizontal line.

Jeffrey W. Bullock, Secretary of State

Authentication: 203549561

Date: 12-20-16



State of Rhode Island and Providence Plantations  
**Department of State | Office of the Secretary of State**  
**Nellie M. Gorbea**, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly executed in  
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as  
amended, has been filed in this office on this day:

Nellie M. Gorbea  
*Secretary of State*

