

Filing Fee: \$50.00

ID Number: 000794923



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Division of Business Services
148 W. River Street
Providence, Rhode Island 02904-2615

LIMITED LIABILITY COMPANY

**AMENDMENT TO
APPLICATION FOR REGISTRATION**

RECEIVED
R.I. DEPT. OF STATE
BUS. SVCS. DIV.
2017 JAN -4 AM 11:54

Pursuant to the provisions of Section 7-16-52 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign limited liability company hereby (*check one box only*) ☐ corrects or ☒ amends its Application for a Certificate of Registration to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the limited liability company is:

Neuberger Berman LLC

2. The statements in the application for registration were inaccurate when made or a change has occurred as follows:

1. The name of the limited liability company is: Neuberger Berman BD LLC

9. The mailing address for the limited liability company is: 1290 Avenue of the Americas, New York, NY 10104

3. The date this Amendment to the Application for Registration is to become effective, if later than the date of filing, is:

(not prior to, nor more than 30 days after, the filing of this Amendment to the Application for Registration)

Under penalty of perjury, I declare and affirm that I have examined this Amendment to Application for Registration, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: January 3, 2017

Neuberger Berman LLC

Print Exact Name of Limited Liability Company Making Application

FILED

JAN 04 2017

By

Elvira De Caro

Signature of Authorized Person

BY

CM 292299
11:54



State of Rhode Island and Providence Plantations
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly executed in
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as
amended, has been filed in this office on this day:

Nellie M. Gorbea
Secretary of State

