



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000125702

2. Name of Corporation SunTrust Robinson Humphrey, Inc.

3. Street Address Principal Business Office:

No. and Street: 3333 PEACHTREE ROAD, NE, SOUTH
TOWER

ATLANTA FINANCIAL CENTER, FLOOR 10

City or Town: ATLANTA

State: GA Zip: 30326 Country: USA

4. Business Phone No.

404-926-5000

5. State of Incorporation

State: TN

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code

6

52

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER-DEALER, SECURITIES UNDERWRITING AND OTHER CAPITAL MARKETS AND
INVESTMENT BANKING ACTIVITIES.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
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PRESIDENT	JOHN N GREGG	10TH FLOOR, 3333 PEACHTREE ROAD, NE ATLANTA, GA 30326 USA
SECRETARY	DAVID T BLOOM	3333 PEACHTREE ROAD, NE ATLANTA, GA 30326 USA
CFO	DONALD R MORRIS JR.	303 PEACHTREE STREET, NE, 25TH FLOOR ATLANTA, GA 30308 USA
MD, HEAD OF OPERATIONS	SCOTT G. STEFFEN	3333 PEACHTREE RD., NE, 11TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF BALANCE SHEET MANAGEMENT	JOHN R JAY	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
MD & MARKET RISK OFFICER	ANAND B DUTTA	3333 PEACHTREE ROAD, NE, 11TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF ADMINISTRATION	HOPE O. WILLIAMS	3333 PEACHTREE RD., NE, 10TH FLOOR ATLANTA, GA 30326 USA
ASSISTANT SECRETARY	ADAM J. HUMPHREYS	3333 PEACHTREE RD., NE, 10TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF CAPITAL MARKETS SYNDICATE	GLENN L STEWART	3333 PEACHTREE ROAD, NE, 11TH FLOOR ATLANTA, GA 30326 USA
MD, CHIEF COMPLIANCE OFFICER	PHILLIP R. HARRISON	3333 PEACHTREE RD., NE, 9TH FLOOR ATLANTA, GA 30326 USA
MD, EXECUTIVE CREDIT OFFICER	WILLIAM P. KLEVEN	3333 PEACHTREE RD., NE. ATLANTA, GA 30326 USA
DIRECTOR	MARK D. HUFFSTETLER	3333 PEACHTREE RD., NE, 10TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	MARK S CHANCY	303 PEACHTREE STREET, NE, 30TH FLOOR ATLANTA, GA 30308 USA
DIRECTOR	ERIN P. MITCHELL WATSON	3333 PEACHTREE RD., NE, 10TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	MICHAEL A. WHEELER	3333 PEACHTREE RD., NE, 10TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	GERARD J. OMEARA JR.	3333 PEACHTREE RD., NE, 6TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	HUGH S CUMMINS III	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	ALEEM GILLANI	303 PEACHTREE STREET, NE, 30TH FLOOR ATLANTA, GA 30308 USA
DIRECTOR	ROBERT J. POPOWSKI	3333 PEACHTREE RD., NE, 11TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	THOMAS P. HACKETT	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	WARREN W. WOODRING	303 PEACHTREE STREET, NE, 23RD FLOOR ATLANTA, GA 30308 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100,000.00	100000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the

corporation by the receiver or trustee.

Signed this 6 Day of January, 2017 at 10:45:39 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DAVID T. BLOOM
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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