



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000022920

2. Name of Corporation Ameriprise Financial Services, Inc.

3. Street Address Principal Business Office:

No. and Street: 707 2ND AVE SO
COUNTY: HENNEPIN

City or Town: MINNEAPOLIS State: MN Zip: 55474 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code 81

6. Brief Description of the Character of Business Conducted in Rhode Island

INVESTMENT ADVISER, BROKER DEALER, A RETAIL BROKER DEALER SUBSIDIARY THAT PROVIDES BROKERAGE, INVESTMENT, AND FINANCIAL ADVISORY SERVICES.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country

PRESIDENT	JOSEPH EDWARD SWEENEY	707 2ND AVE SO,COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
SECRETARY	THOMAS RICHARD MOORE	707 2ND AVE SO,COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
CFO	JEFFREY SCHERMAN	707 2ND AVE SO,COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	JOSEPH EDWARD SWEENEY	707 2ND AVE SO,COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	CHARLES N. MAGLAQUE	707 2ND AVE SO,COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	PATRICK H. O'CONNELL	707 2ND AVE SO,COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	WILLIAM J. WILLIAMS	707 2ND AVE SO,COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$10.0000	10,000.00	10000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 24 Day of January, 2017 at 12:48:08 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By BRADLEY SLENKER
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07