

## State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

## Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017									
1. Corporate ID No. 000066499									
2. Name of Corporation Morgan Stanley Capital Group Inc.									
3. Street Address Principal Business Office:									
4. Business Phone No.									
5. State of Incorporation									
ARTICLE III									
Using the following NAICS codes, please select the code that best describes your business.									

6. Brief Description of the Character of Business Conducted in Rhode Island

EFFECTS NON-REGULATED TRANSACTIONS WITH VARIOUS INSTITUTIONAL CLIENTS IN

<u>VARIOUS INTERNATIONAL MARKETS. NON-CLEARING MEMBER OF NYMEX AND EXECUTES</u>

TRANSACTIONS FOR ITS OWN ACCOUNT ON SUCH EXCHANGE.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address

	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
PRESIDENT	NANCY A. KING	1585 BROADWAY
		NEW YORK, NY 10036 USA
TREASURER	CELESTE MELLET BROWN	1585 BROADWAY
		NEW YORK, NY 10036 USA
SECRETARY	WILLIAM F. MCCOY	1585 BROADWAY
		NEW YORK, NY 10036 USA
DIRECTOR	BRETT HUMPHREYS	1585 BROADWAY
		NEW YORK, NY 10036 USA
DIRECTOR	NANCY A. KING	1585 BROADWAY
		NEW YORK, NY 10036 USA
DIRECTOR	PARKER CORBIN	1585 BROADWAY
		NEW YORK, NY 10036 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$1.0000	100.00	100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 26 Day of January, 2017 at 11:59:50 AM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

## By DARETH JEFFERS

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

© 2007 - 2017 State of Rhode Island and Providence Plantations All Rights Reserved