



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000791921

2. Name of Corporation RAFTELIS FINANCIAL CONSULTANTS INC

3. Street Address Principal Business Office:

No. and Street: 227 WEST TRADE STREET
SUITE 1400

City or Town: CHARLOTTE State: NC Zip: 28202 Country: USA

4. Business Phone No.

704-373-1199

5. State of Incorporation

State: NC

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code

6

52

6. Brief Description of the Character of Business Conducted in Rhode Island

FINANCIAL AND RATE CONSULTING FOR WATER/WASTEWATER UTILITIES.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
SECRETARY	MATTHEW R. JACKSON	227 W. TRADE ST., STE. 1400 CHARLOTTE, NC 28202 USA

CEO/PRESIDENT	PEIFFER A. BRANDT	227 W. TRADE ST., STE. 1400 CHARLOTTE, NC 28202 USA
DIRECTOR	WILLIAM G. STANNARD	3013 MAIN ST. KANSAS CITY, MO 64108 USA
DIRECTOR	JON P. DAVIS	227 W. TRADE ST., STE. 1400 CHARLOTTE, NC 28202 USA
DIRECTOR	RICHARD D. GIARDINA	5619 DTC PARKWAY, STE. 175 GREENWOOD VILLAGE, CO 80111 USA
DIRECTOR	DARIN H. THOMAS	822 N. ELM ST., STE 104 GREENSBORO, NC 27401 USA
DIRECTOR	R. KEITH READLING	1001 WINSTEAD DR., STE. 355 CARY, NC 27511 USA
DIRECTOR	B. BERNARD BURNS JR	1605 MYERS PARK DR. CHARLOTTE, NC 28207 USA
DIRECTOR	BENJAMIN M. RAWLS	60 FAIRWAY RIDGE LAKE WYLIE, SC 29710 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	100,000.00	155100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 30 Day of January, 2017 at 5:41:21 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MATTHEW R. JACKSON
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07