



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000789997

2. Name of Corporation Segal Advisors, Inc.

3. Street Address Principal Business Office:

No. and Street: 333 WEST 34TH STREET

City or Town: NEW YORK

State: NY

Zip: 10001

Country: USA

4. Business Phone No.

5. State of Incorporation

State: NY

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code

6

541990

6. Brief Description of the Character of Business Conducted in Rhode Island

INVESTMENT ADVISORY SERVICES

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JOHN DEMAIO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
TREASURER	RICARDO M DIBARTOLO	333 WEST 34TH STREET

		NEW YORK, NY 10001 USA
SECRETARY	MARGERY SINDER FRIEDMAN	333 WEST 34TH STREET NEW YORK, NY 10001 USA
CEO	JOHN DEMAIRO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
CFO	RICARDO M. DIBARTOLO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
SENIOR VICE PRESIDENT	RICARDO M. DIBARTOLO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
SENIOR VICE PRESIDENT	MARGERY SINDER FRIEDMAN	333 WEST 34TH STREET NEW YORK, NY 10001 USA
VICE PRESIDENT	STEVEN C. GREENSPAN	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	HOWARD GOLDSMITH	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	JOHN GINGELL	101 NORTH WACKER DRIVE, SUITE 400 CHICAGO, IL 60606 USA
DIRECTOR	JOSEPH A. LOCICERO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	JOHN DEMAIRO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	ANDREW SHERMAN	116 HUNTINGTON AVENUE, 8TH FLOOR BOSTON, MA 02116 USA
DIRECTOR	DAVID BLUMENSTEIN	1920 N STREET, NW, SUITE 400 WASHINGTON, DC 20036 USA
DIRECTOR	JOHN FLYNN	30 WATERSIDE DRIVE, SUITE 300 FARMINGTON, CT 06032 USA
DIRECTOR	ANN GINEO	30 WATERSIDE DRIVE, SUITE 300 FARMINGTON, CT 06032 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	100.00	100

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 1 Day of February, 2017 at 12:47:00 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MARGERY SINDER FRIEDMAN  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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