



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000150697

2. Name of Corporation FIAM Holdings Corp.

3. Street Address Principal Business Office:

No. and Street: 245 SUMMER STREET, ZW9A

City or Town: BOSTON

State: MA

Zip: 02210

Country: USA

4. Business Phone No.

6175637000

5. State of Incorporation

State: DE

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code

6

52

6. Brief Description of the Character of Business Conducted in Rhode Island

TO ENGAGE IN THE INVESTMENT ADVISORY BUSINESS, PRIMARILY TARGETING INSTITUTIONAL INVESTORS

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
PRESIDENT	SCOTT E. COUTO	245 SUMMER STREET BOSTON, MA 02210 USA

TREASURER	J. CLAY LUBY	245 SUMMER STREET BOSTON, MA 02210 USA
SECRETARY	JENNIFER R. SUELLENTROP	245 SUMMER STREET BOSTON, MA 02210 USA
CFO	CARLOS A. PISIERRA	245 SUMMER STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	DAVID H. SHORE	245 SUMMER STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	PETER D. STAHL	245 SUMMER STREET BOSTON, MA 02210 USA
ASSISTANT TREASURER	ERIC C. GREEN	245 SUMMER STREET BOSTON, MA 02210 USA
DIRECTOR	SCOTT E. COUTO	245 SUMMER STREET BOSTON, MA 02210 USA
DIRECTOR	CARLOS A. PISIERRA	245 SUMMER STREET BOSTON, MA 02210 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	250,000.00	100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 14 Day of February, 2017 at 4:04:43 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DAVID H. SHORE
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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