





State of Rhode Island and Providence Plantations  
Department of State - Business Services Division

Annual Report for the year: 2016  
Corporation

- Filing period: January 1 - March 1  
→ Filing Fee: \$50.00  
→ Penalty: Additional \$25.00 fee if form is not filed by April 1.

STAMP

1. Entity ID Number <b>1336442</b>		2. Exact name of the Corporation <b>SOLAR MOSAIC, INC.</b>			
3. Principal Office Address <b>1212 BROADWAY, 3RD FLOOR</b>		City <b>OAKLAND</b>		State <b>CA</b>	Zip <b>94612</b>
4. NAICS Code <b>52</b>		6. Brief description of the character of business conducted in Rhode Island <b>NON-DEPOSITORY CONSUMER LENDER THAT FINANCES THE PURCHASE OF SOLAR TECHNOLOGY &amp; ENERGY-EFFICIENT HOME IMPROVEMENT PROJECTS</b>			
5. State of Incorporation <b>DELAWARE</b>					
7. List ALL officers (names and addresses) <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span>					
President Name			Vice-President Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
Secretary Name			Treasurer Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
8. List ALL directors (names and addresses) <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span>					
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
9. Shares Authorized <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span>					
10. Shares Issued <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span>					
This information is currently of record in the Department of State.  Changes require an additional filing.		NUMBER OF SHARES		CLASS/SERIES	PAR VALUE
11. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee. <b>Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.</b>					
Name of Authorized Representative <b>ERIC WATSON</b>				Date <b>02-07-2017</b>	
Signature of Authorized Representative 				SIGN DOCUMENT <b>FILED</b> 	

FEB 13 2017

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**Solar Mosaic, Inc. | 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612**  
**Rhode Island Annual Report**  
**Entity ID Number: 1336442**

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Attachment to 7. List ALL officers (names and addresses)

**Officers**

Name: William (Billy) Parish  
Title: Co-Founder & CEO  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Daniel Rosen  
Title: Co-Founder & President  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Eric Watson  
Title: EVP, General Counsel & Secretary  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Stephen Controulis  
Title: Chief Financial Officer  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Anthony Bako  
Title: Chief Operating Officer  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Bruce Ledesma  
Title: EVP, Corporate Development  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Michael McDermitt  
Title: Chief Credit Officer  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Shahzad Kazi  
Title: Chief Risk Officer  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Theodore Fawcett  
Title: VP of Sales  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Attachment to 8. List ALL directors (names and addresses)

**Directors**

Name: Daniel Rosen  
Title: Chairman of the Board  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: William (Billy) Parish  
Title: Director  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Thomas Dinwoodie  
Title: Director  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Lisa Gansky  
Title: Director  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Robert Kelly  
Title: Director  
Address: 1212 Broadway, 3<sup>rd</sup> Floor, Oakland, CA 94612

Name: Biddanda (Arjun) Thimmaya  
Title: Director  
Address: 450 Lexington Ave., New York, NY 10017

Name: Saurabh Agarwal  
Title: Director  
Address: 450 Lexington Ave., New York, NY 10017

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Attachment to Question 10 – Shares Issued

NUMBER OF SHARES	CLASS/SERIES	PAR VALUE
8,526,808	Common	\$0.0001
4,184,716	Preferred Series A	\$0.0001
4,650,175	Preferred Series B	\$0.0001
19,784,313	Preferred Series C	\$0.0001
1,684,000	Preferred Series FF	\$0.0001