



State of Rhode Island and Providence Plantations
Department of State - Business Services Division

Annual Report for the year: 2016
Corporation

- Filing period: January 1 - March 1
→ Filing Fee: \$50.00
→ Penalty: Additional \$25.00 fee if form is not filed by April 1.

STAMP

1. Entity ID Number 1336442		2. Exact name of the Corporation SOLAR MOSAIC, INC.	
3. Principal Office Address 1212 BROADWAY, 3RD FLOOR		City OAKLAND	State CA
		Zip 94612	
4. NAICS Code 52	6. Brief description of the character of business conducted in Rhode Island NON-DEPOSITORY CONSUMER LENDER THAT FINANCES THE PURCHASE OF SOLAR TECHNOLOGY & ENERGY-EFFICIENT HOME IMPROVEMENT PROJECTS		
5. State of Incorporation DELAWARE			
7. List ALL officers (names and addresses) Check the box to indicate an attachment <input checked="" type="checkbox"/>			
President Name		Vice-President Name	
Street Address		Street Address	
City	State	City	State
Zip		Zip	
Secretary Name		Treasurer Name	
Street Address		Street Address	
City	State	City	State
Zip		Zip	
8. List ALL directors (names and addresses) Check the box to indicate an attachment <input checked="" type="checkbox"/>			
Director Name		Director Name	
Street Address		Street Address	
City	State	City	State
Zip		Zip	
Director Name		Director Name	
Street Address		Street Address	
City	State	City	State
Zip		Zip	
9. Shares Authorized This information is currently of record in the Department of State. Changes require an additional filing.		10. Shares Issued Check the box to indicate an attachment <input checked="" type="checkbox"/>	
		NUMBER OF SHARES	CLASS/SERIES
			PAR VALUE
11. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee. Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.			
Name of Authorized Representative ERIC WATSON		Date 02-07-2017	
Signature of Authorized Representative 		SIGN DOCUMENT FILED 	

FEB 13 2017

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Solar Mosaic, Inc. | 1212 Broadway, 3rd Floor, Oakland, CA 94612
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Entity ID Number: 1336442

Attachment to 7. List ALL officers (names and addresses)

Officers

Name: William (Billy) Parish
Title: Co-Founder & CEO
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Daniel Rosen
Title: Co-Founder & President
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Eric Watson
Title: EVP, General Counsel & Secretary
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Stephen Controulis
Title: Chief Financial Officer
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Anthony Bako
Title: Chief Operating Officer
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Bruce Ledesma
Title: EVP, Corporate Development
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Michael McDermitt
Title: Chief Credit Officer
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Shahzad Kazi
Title: Chief Risk Officer
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Theodore Fawcett
Title: VP of Sales
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Attachment to 8. List ALL directors (names and addresses)

Directors

Name: Daniel Rosen
Title: Chairman of the Board
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: William (Billy) Parish
Title: Director
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Thomas Dinwoodie
Title: Director
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Lisa Gansky
Title: Director
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Robert Kelly
Title: Director
Address: 1212 Broadway, 3rd Floor, Oakland, CA 94612

Name: Biddanda (Arjun) Thimmaya
Title: Director
Address: 450 Lexington Ave., New York, NY 10017

Name: Saurabh Agarwal
Title: Director
Address: 450 Lexington Ave., New York, NY 10017

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Attachment to Question 10 – Shares Issued

NUMBER OF SHARES	CLASS/SERIES	PAR VALUE
8,526,808	Common	\$0.0001
4,184,716	Preferred Series A	\$0.0001
4,650,175	Preferred Series B	\$0.0001
19,784,313	Preferred Series C	\$0.0001
1,684,000	Preferred Series FF	\$0.0001