



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000140845

2. Name of Corporation Investment Centers of America, Inc.

3. Street Address Principal Business Office:

No. and Street: 3231 GREENSBORO DRIVE, STE 200

City or Town: BISMARCK

State: ND Zip: 58503 Country: USA

4. Business Phone No.

517-381-5500

5. State of Incorporation

State: ND

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code

6

52

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER - DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	KRISTAN RICHARDSON	1 CORPORATE WAY LANSING, MI 48951 USA
SENIOR VP	MARK BRAKEL	3231 GREENSBORO DRIVE, STE 200

		BISMARCK, ND 58503 USA
SENIOR VP, ASSIST. SECRETARY	GERRY GUNDERSON	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
SENIOR VP	BYRON HILL	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
SENIOR VP	LAWRENCE LANGEMO	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
SENIOR VP	JAMES LYNCH	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
SENIOR VP	DANIEL WRIGHT	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
SENIOR VP	DAWN KALINOWSKI	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	ALICE (HITTLE) NAEF	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	RYAN ARMOCK	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	ALLAN AVENA	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	CYNTHIA BECHTOLD	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	BARB BECKER	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	DEBBIE CHANDLER	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	CAREN (JUNG) COLEMAN	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	JAMES J. HUDOCK	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	KELLY VANALSTINE	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	ADAM WAROT	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	DANIEL WEISS	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	SCOTT FORBUSH	1 CORPORATE WAY LANSING, MI 48951 USA
ASSIST VP	JASON AMBOS	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	JEREMY BURTON	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	MICHAEL DEROSA	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	JON DESMIDT	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	WILLIAM DEVANNEY	1 CORPORATE WAY LANSING, MI 48951 USA
ASSIST VP	BOB DOWNING	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	ALFREDO GOMEZ	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	COURTNEY KENNEY	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	RICK MOHR	3121 GREENSBORO DRIVE, STE 200

		BISMARCK, ND 58503 USA
ASSIST VP	NATE NATWICK	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	KELLY VANDEN BROOKE	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	MAURA COLLINS	7601 TECHNOLOGY WAY DENVER, CO 80237 USA
VP, CONTROLLER	VANESSA LAMBRECHTS	100 N SEPULVEDA BLVD, STE 1800 EL SEGUNDO, CA 90245 USA
ASSIST VP	TODD MANEVAL	1 CORPORATE WAY LANSING, MI 48951 USA
PRESIDENT, CEO, DIRECTOR	JAMES KOMOSZEWSKI	3231 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
CHAIRMAN	SCOTT ROMINE	300 INNOVATION DRIVE FRANKLIN, TN 37067 USA
DIRECTOR	ALISON REED	7601 TECHNOLOGY WAY DENVER, CO 80237 USA
DIRECTOR	MICHAEL A COSTELLO	1 CORPORATE WAY LANSING, MI 48951 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	50,000.00	14000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 16 Day of February, 2017 at 10:25:22 AM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By KRISTAN RICHARDSON
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07