

# State of Rhode Island and Providence Plantations Office of the Secretary of State

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

## Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000158243

2. Name of Corporation DLJ Mortgage Capital, Inc.

3. Street Address Principal Business Office:

No. and Street: 11 MADISON AVENUE, CORPORATE TAX

**DEPARTMENT** 

City or Town: NEW YORK State: NY Zip: 10010Country: USA

4. Business Phone No.

2123252000

5. State of Incorporation

State: DE

### ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code

6

81

Fee: \$50.00

6. Brief Description of the Character of Business Conducted in Rhode Island

### TRADES IN WHOLE LOANS

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
PRESIDENT	PATRICK A. REMMERT JR.	11 MADISON AVENUE NEW YORK, NY 10010 USA	

TREASURER	JOSEPH J SHROPSHIRE	JOSEPH J SHROPSHIRE 11 MADISON AVE NEW YORK, NY 10010 USA	
SECRETARY	MARY KATE WYNPERLE	11 MADISON AVENUE NEW YORK, NY 10010 USA	
VICE PRESIDENT	RICHARD CURRAN	11 MADISON AVENUE NEW YORK, NY 10010 USA	
ASSISTANT SECRETARY	RHONDA MATTY	11 MADISON AVENUE NEW YORK, NY 10010 USA	
VICE PRESIDENT	THOMAS FINLAN	11 MADISON AVENUE NEW YORK, NY 10010 USA	
VICE PRESIDENT	KAREN L CANDY	11 MADISON AVE. NEW YORK, NY 10010 USA	
VICE PRESIDENT	BRIAN R CAPRA	11 MADISON AVE. NEW YORK, NY 10010 USA	
VICE PRESIDENT	BRIAN CHIN	11 MADISON AVE. NEW YORK, NY 10010 USA	
VICE PRESIDENT	MICHAEL A CRISCITO	11 MADISON AVE. NEW YORK, NY 10010 USA	
VICE PRESIDENT	ANDERSON CHRISTOPHER	11 MADISON AVENUE NEW YORK, NY 10010 USA	
DIRECTOR	BRIAN CHIN	11 MADISON AVE NEW YORK, NY 10010 USA	
DIRECTOR	NEAL D ROODIN	11 MADISON AVE NEW YORK, NY 10010 USA	
DIRECTOR	PATRICK A REMMERT JR	11 MADISON AVE NEW YORK, NY 10010 USA	
DIRECTOR	ROBERT HUNTINGTON	11 MADISON AVE NEW YORK, NY 10010 USA	
DIRECTOR	BRUCE KAISERMAN	11 MADISON AVE NEW YORK, NY 10010 USA	

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$0.1000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 21 Day of February, 2017 at 11:24:02 AM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

#### By THOMAS FINLAN

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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