



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2017

**1. Corporate ID No.** 000110420

**2. Name of Corporation** AXA Distribution Holding Coproration

**3. Street Address Principal Business Office:**

No. and Street: 1290 AVENUE OF THE AMERICAS  
City or Town: NEW YORK

State: NY Zip: 10104 Country: USA

**4. Business Phone No.**

2017436611

**5. State of Incorporation**

State: DE

**ARTICLE III**

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code  81

**6. Brief Description of the Character of Business Conducted in Rhode Island**

HOLDING COMPANY

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JOSHUA E BRAVERMAN	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
TREASURER	YUN ZHANG	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA

SECRETARY	KAREN FIELD HAZIN	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
CEO	ANDERS MALMSTROM	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
CFO	JOSHUA E BRAVERMAN	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
SENIOR VICE PRESIDENT	ALFRED KUMP	1290 AVENUE OF THE AMERICAS NEW YORK , NY 10104 USA
SENIOR VICE PRESIDENT	GEORGE PAPAZICOS	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
ASSISTANT SECRETARY	DENISE TEDESCHI	1290 AVENUE OF THE AMERICAS NEW YORK , NY 10104 USA
ASSISTANT SECRETARY	FRANCESCA DIVONE	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
ASSISTANT VICE PRESIDENT	DENISE TEDESCHI	1290 AVENUE OF THE AMERICAS NEW YORK , NY 10104 USA
CHAIRMAN OF THE BOARD	ANDERS MALMSTROM	1290 AVENUE OF THE AMERICAS NEW YORK , NY 10104 USA
VICE PRESIDENT	YUN ZHANG	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
VICE PRESIDENT	KEITH PRESSLEY	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
VICE PRESIDENT	KAREN FIELD HAZIN	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
VICE PRESIDENT	FRANK R ACIERNO	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
ASSOCIATE GENERAL COUNSEL	KAREN FIELD HAZIN	1290 AVENUE OF THE AMERICAS NEW YORK , NY 10104 USA
DIRECTOR	JOSHUA E BRAVERMAN	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
DIRECTOR	NICHOLAS B LANE	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA
DIRECTOR	ANDERS MALMSTROM	1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	1,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 21 Day of February, 2017 at 5:16:07 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.**

By KAREN FIELD HAZIN  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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