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# State of Rhode Island and Providence Plantations Office of the Secretary of State

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

## Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000023073

- 2. Name of Corporation Lincoln Financial Advisors Corporation
- 3. Street Address Principal Business Office:

No. and Street: 1300 SOUTH CLINTON STREET

**SUITE 150** 

City or Town: FORT WAYNE State: IN Zip: 46802 Country: USA

4. Business Phone No.

260-455-2000

5. State of Incorporation

State: IN

#### ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code

6

52

Fee: \$50.00

6. Brief Description of the Character of Business Conducted in Rhode Island

#### BROKER/DEALER AND INVESTMENT ADVISER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
PRESIDENT	DAVID BERKOWITZ	2005 MARKET STREET 34TH FL PHILADELPHIA, PA 19103 USA

TREASURER	JEFFREY COUTTS	150 N RADNOR CHESTER RD RADNOR, PA 19087 US	
SECRETARY	NANCY A SMITH	150 N RADNOR CHESTER RD RADNOR, PA 19087 US	
VICE PRESIDENT	CARRIE L CHELKO	150 N RADNOR CHESTER RD RADNOR, PA 19087 USA	
VICE PRESIDENT	DEANA M FRIEDT	1300 S CLINTON STREET FORT WAYNE, IN 46802 USA	
ASSISTANT VICE PRESIDENT	JAMES A BADIAC	1300 S CLINTON STREET FORT WAYNE, IN 46802 USA	
ASSISTANT VICE PRESIDENT	MARGARET A SALAMY	ONE GRANITE PLACE CONCORD, NH 03301 USA	
ASSISTANT VICE PRESIDENT	CHRISTOPHER M REED	150 N RADNOR CHESTER RD RADNOR, PA 19087 USA	
SENIOR VICE PRESIDENT	PATRICK J LEFEMINE	350 CHURCH STREET HARTFORD, CT 06103 USA	
DIRECTOR	DAVID BERKOWITZ	2005 MARKET STREET 34TH FL PHILADELPHIA, PA 19103 USA	
DIRECTOR	WILFORD H FULLER	150 N RADNOR CHESTER RD RADNOR, PA 19087 USA	
EXECUTIVE VICE PRESIDENT	RANDAL J FREITAG	150 N RADNOR CHESTER RD RADNOR, PA 19087 USA	
SENIOR VICE PRESIDENT	JOHN R DIMONDA	2005 MARKET STREET 34TH FL PHILADELPHIA, PA 19103 USA	
SENIOR VICE PRESIDENT	LUCY D GASE	1300 S CLINTON STREET STE 150 FORT WAYNE, IN 46802 USA	
SENIOR VICE PRESIDENT	EUGENE M MULLIGAN	2005 MARKET STREET 34TH FL PHILADELPHIA, PA 19103 USA	
SENIOR VICE PRESIDENT	JAMES G SPENCE	6000 FAIRVIEW RD STE 1550 CHARLOTTE, NC 28210 USA	
VICE PRESIDENT	DAVID E ARMSTRONG	ONE GRANITE PLACE CONCORD, NH 03301 USA	
SENIOR VICE PRESIDENT	SCOTT M WITEBY	2005 MARKET STREET 34TH FL PHILADELPHIA, PA 19103 USA	
CHIEF COMPLIANCE OFFICER	PATRICK J CAULFIELD	350 CHURCH STREET HARTFORD, CT 06103 USA	
DIRECTOR	LUCY D GASE	1300 S CLINTON STREET FORT WAYNE, IN 46802 USA	

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$100.0000	5,000.00	5000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 27 Day of February, 2017 at 10:20:10 AM. This electronic signature of the individual

or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

### By **CHRISTOPHER M REED**

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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