State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: $50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of $25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000033256

2. Name of Corporation Transamerica Financial Advisors, Inc.

3. Street Address Principal Business Office:

No. and Street: 570 CARILLON PARKWAY
City or Town: ST. PETERSBURG State: FL Zip: 33716 Country: USA

4. Business Phone No.

7272991800

5. State of Incorporation

State: DE

ARTICLE III

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code 6 52

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER-DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	GEORGE CHUANG	570 CARILLON PARKWAY ST PETERSBURG, FL 33716 USA
TREASURER	BRIAN BEITZEL	4333 EDGEWOOD ROAD CEDAR RAPIDS, IA 52499 USA

SECRETARY	AMY E. ANGLE	100 LIGHT STREET BALTIMORE, MD 21202 USA
CEO	GEORGE CHUANG	570 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
CEO	SETH D MILLER	570 CARILLON PARKWAY ST PETERSBURG, FL 33716 USA
CFO	BRIAN BEITZEL	4333 EDGEWOOD ROAD NE CEDAR RAPIDS, IA 52499 USA
ASSISTANT SECRETARY	TERESA ROONEY	570 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
CHEIF COMPLIANCE OFFICER	BRAD MULLIKIN	11315 JOHNS CREEK PARKWAY JOHNS CREEK, GA 30097 USA
VICE PRESIDENT	BRIAN BEITZEL	4333 EDGEWOOD ROAD NE CEDAR RAPIDS, IA 52499 USA
DIRECTOR	SETH D MILLER	570 CARILLON PARKWAY ST PETERSBURG, FL 33716 USA
DIRECTOR	GEORGE CHUANG	570 CARILLON PARKWAY ST PETERSBURG, FL 33716 USA
DIRECTOR	BRIAN BEITZEL	4333 EDGEWOOD ROAD NE CEDAR RAPIDS, IA 52499 USA
DIRECTOR	JOHN JOSEPH	11315 JOHNS CREEK PARKWAY JOHNS CREEK, GA 30097 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares *Number of Shares*	Total Issued and Outstanding *Num of Shares*
CWP		$1.0000	5,000.00	1938

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 1 Day of March, 2017 at 12:53:17 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By AMY ANGLE
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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