



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2017

**1. Corporate ID No.** 000021898

**2. Name of Corporation** Caterpillar Financial Services Corporation

**3. Street Address Principal Business Office:**

No. and Street: 2120 WEST END AVENUE  
City or Town: NASHVILLE

State: TN Zip: 37203 Country: USA

**4. Business Phone No.**

615-341-1000

**5. State of Incorporation**

State: DE

**ARTICLE III**

Using the following NAICS codes, please select the code that best describes your business.

NAICS Code  52

**6. Brief Description of the Character of Business Conducted in Rhode Island**

LEASING /FINANCING EQUIPMENT

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	PATRICK T. MCCARTAN	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
SECRETARY	LESLIE S ZMUGG	2120 WEST END AVENUE NASHVILLE, TN 37203 USA

CFO	JAMES A DUENSING	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	JAMES A DUENSING	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
PRESIDENT	KENT M ADAMS	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	MARY V. CLEMENTS	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	ROBERT J. BENNES	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	MARK A MANNING	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
ASSISTANT SECRETARY	WILLIAM C BREWER	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	MARK C BAINBRIDGE	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
ASSISTANT SECRETARY	SALLY A STILES	2120 WEST AVENUE NASHVILLE, TN 37203 USA
ASSISTANT SECRETARY	JONI J FUNK	100 N.E. ADAMS ST PEORIA, IL 61629 USA
ASSISTANT SECRETARY	ROBERT R LASER JR.	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	DAVID T WALTON	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
CONTROLLER	JEFFRY D EVERETT	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
CHIEF INFORMATION OFFICER	GERALD F GORMAN	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
DIRECTOR	KENT M ADAMS	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
DIRECTOR	BRADLEY A HALVERSON	100 N.E. ADAMS ST. PEORIA , IL 61629 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	2,000.00	1

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 1 Day of March, 2017 at 2:24:19 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By LESLIE S ZMUGG

Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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