



State of Rhode Island and Providence Plantations
Department of State - Business Services Division

STAMP

Annual Report for the year: 2017
Corporation

- Filing period: January 1 - March 1
- Filing Fee: \$50.00
- Penalty: Additional \$25.00 fee if form is not filed by April 1.

FOR

1. Entity ID Number 15323		2. Exact name of the Corporation Van Liew Capital Inc.			
3. Principal Office Address One Regency Plaza			City Providence	State RI	Zip 02903
4. NAICS Code 52 - Finance and Insurance		6. Brief description of the character of business conducted in Rhode Island Investment management			
5. State of Incorporation Rhode Island					
7. List ALL officers (names and addresses) Check the box to indicate an attachment <input checked="" type="checkbox"/>					
President Name Kevin M. Oates			Vice-President Name Samuel B. Hallowell, Jr.		
Street Address One Regency Plaza			Street Address One Regency Plaza		
City Providence	State RI	Zip 02903	City Providence	State RI	Zip 02903
Secretary Name Steven M. McInnis			Treasurer Name Alfred B. Van Liew		
Street Address 38 Bellevue Avenue, Suite H			Street Address One Regency Plaza		
City Newport	State RI	Zip 02840	City Providence	State RI	Zip 02903
8. List ALL directors (names and addresses) Check the box to indicate an attachment <input checked="" type="checkbox"/>					
Director Name Alfred B. Van Liew			Director Name David L. Brodsky		
Street Address One Regency Plaza			Street Address 259 Clarke Avenue		
City Providence	State RI	Zip 02903	City Palm Beach	State FL	Zip 33480
Director Name Angela B. Fischer			Director Name Robert R. Forsberg		
Street Address 50 South Main Street			Street Address 84 Clearwater Drive		
City Providence	State RI	Zip 02903	City Westwood	State MA	Zip 02890
9. Shares Authorized		10. Shares Issued Check the box to indicate an attachment <input type="checkbox"/>			
This information is currently of record in the Department of State. Changes require an additional filing.		NUMBER OF SHARES		CLASS/SERIES	PAR VALUE
		4,975		Class B Common	\$.35 Par
		9,244		Class A Pref.	\$1.00 Par
11. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee. Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.					
Name of Authorized Representative Kevin M. Oates				Date 1/30/17	
Signature of Authorized Representative <i>Kevin M. Oates</i>					

SIGN DOCUMENT **FILED**

MAIL TO:
 Division of Business Services
 148 W. River Street, Providence, Rhode Island 02904-2615
 Phone: (401) 222-3040
 Website: www.sos.ri.gov

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VAN LIEW CAPITAL INC.

Corporate ID: 15323

2017 Annual Report

Secretary of State

Continuation Sheet

OFFICERS:

Chairman:

Alfred B. Van Liew
One Regency Plaza
Providence, RI 02903

Vice President:

Joseph J. Healy
One Regency Plaza
Providence, RI 02903

Vice President:

Wade M. Walbrun
One Regency Plaza
Providence, RI 02903

Asst. Vice President:

Kathleen Ferreira
One Regency Plaza
Providence, RI 02903

Chief Operating Officer:

Kevin M. Oates
One Regency Plaza
Providence, RI 02903

Vice President:

Edward K. Staples
One Regency Plaza
Providence, RI 02903

Vice President:

Elizabeth G. Dellenbaugh
One Regency Plaza
Providence, RI 02903

DIRECTORS:

Thomas P. I. Goddard
50 South Main Street
Providence, RI 02903

Steven M. McInnis
38 Bellevue Avenue
Newport, RI 02840