State of Rhode Island and Providence Plantations
Department of State - Business Services Division

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R.I. DEPT. OF STATE
BUS SVCS DIV

Certificate of Authority
FOREIGN Corporation

→ Filing Fee: $310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is:

Huntington T. Block Insurance Agency, Inc.

2. It is incorporated under the laws of: **District of Columbia**

3. The name, if different, which it elects to use in Rhode Island is:

(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is: **3/20/1991**

And the period of its duration is: **CHECK ONLY ONE BOX**

☑ Perpetual (on-going)

☐ Date certain for dissolution _____

5. The address of its principal office is:

200 E. Randolph, Chicago, IL 60601

6. The name and address of the initial registered agent/office of in Rhode Island:

Agent Name **Corporation Service Company**

Street Address (NOT a P.O. Box) **222 Jefferson Boulevard, Suite 200**

City/Town	State	Zip Code
Warwick	**RHODE ISLAND**	**02888**

MAIL TO:
Division of Business Services
148 W. River Street, Providence, Rhode Island 02904-2615
Phone: (401) 222-3040
Website: www.sos.ri.gov

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FORM 150 - Revised: 08/2016

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

The business of an insurance broker and/or agency.

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
Mary Moore Johnson	**200 E. Randolph St., Chicago, IL 60601**
Michelle S. Ley	**200 E. Randolph St., Chicago, IL 60601**
Steven D. Marks	**200 E. Randolph St., Chicago, IL 60601**

Check the box to indicate an attachment. ☐

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT		
VICE PRESIDENT		
TREASURER		
SECRETARY		

Check the box to indicate an attachment. ☑

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
1,000	**Common**	**None**	**$1.00 par value**

10. (a) Estimate, in dollars, the value of all property to be owned by the corporation for the following year, wherever located:

$ **13,481**

(b) Estimate, in dollars, the value of the corporation's property to be located within Rhode Island during the following year:

$ **0**

(c) Estimate, **as a percentage**, the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. *Note: Divide (10b) by (10a) and multiply by 100 to obtain the percentage.*

0 %

11. (a) Estimate, in dollars, the gross amount of business to be transacted by the corporation during the following year.	(b) Estimate, in dollars, the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year.
$ 12,059,107	$ 21,934

(c) Estimate, **as a percentage**, the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. *Note: Divide (11b) by (11a) and multiply by 100 to obtain the percentage.*

0.001819 %

12. This application must be accompanied by a Certificate of Good Standing/Letter of Status issued by the proper officer of the state or country under the laws of which it is incorporated that is dated within 60 days of the filing of this document.

13. Date when the Certificate of Authority will be effective: **CHECK ONLY ONE BOX**

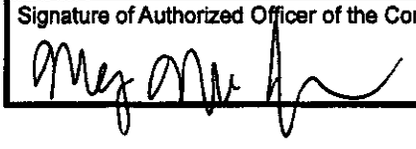
☑ Date received (Upon filing)

☐ Later effective date (Date must be no more than 90 days from the day of filing)_____

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Type or Print Name of Authorized Officer	Date
Mary Moore Johnson, Vice President	**3/10/2017**

Signature of Authorized Officer of the Corporation



SIGN DOCUMENT HERE

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email corporations@sos.ri.gov.

Directors/Officers Report

As of March 10, 2017

[redacted]

Officers Address: 200 E. Randolph St., Chicago, IL 60601

Liam P. Caffrey	Chief Executive Officer
Joseph C. Dunn	President
Diane Jackson	Chief Operating Officer
Paul A. Hagy	Treasurer
Mary Moore Johnson	Secretary
Gerald W. Brown	Vice President
Liam P. Caffrey	Chief Financial Officer, ARS Americas
Domingo Garcia, Jr.	Senior Vice President
Paul A. Hagy	Vice President
Charles Harrison	Senior Vice President
Mary Moore Johnson	Vice President
Michelle S. Ley	Assistant Secretary
Michelle S. Ley	Assistant Vice President
Lynn Marcin	Senior Vice President
Steven D. Marks	Vice President
Rosemary McRann	Senior Vice President
Richard M. V. Mercado	Vice President
Jeffrey Minett	Senior Vice President
Deborah Peak	Senior Vice President
Annemarie Rappa	Senior Vice President
Adrienne Reid	Assistant Vice President
Linda Sandell	Senior Vice President

Initial File #: 911047
Entity Type: For-ProfitCorporation

GOVERNMENT OF THE DISTRICT OF COLUMBIA

DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS
CORPORATIONS DIVISION

CERTIFICATE

THIS IS TO CERTIFY that all applicable provisions of the District of Columbia Business Organizations Code (Title 29) have been complied with and accordingly, this ***CERTIFICATE OF GOOD STANDING*** is hereby issued to

HUNTINGTON T. BLOCK INSURANCE AGENCY, Inc.

WE FURTHER CERTIFY that the domestic filing entity is formed under the law of the District on 3/20/1991; that all fees, and penalties owed to the District for entity filings collected through the Mayor have been paid and Payment is reflected in the records of the Mayor; The entity's most recent biennial report required by § 29-102.11 has been delivered for filing to the Mayor; and the entity has not been dissolved. This office does not have any information about the entity's business practices and financial standing and this certificate shall not be construed as the entity's endorsement.

IN TESTIMONY WHEREOF I have hereunto set my hand and caused the seal of this office to be affixed as of 3/13/2017 11:47 AM

Business and Professional Licensing Administration

Patricia E. Grays

PATRICIA E. GRAYS
Superintendent of Corporations
Corporations Division

Muriel Bowser
Mayor

Tracking #: Ridv6161

RI SOS Filing Number: 201738052330 Date: 3/15/2017 12:37:00 PM

State of Rhode Island and Providence Plantations

Department of State | Office of the Secretary of State

Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island and Providence Plantations, hereby certify that this document, duly executed in accordance with the provisions of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this office on this day:

March 15, 2017 12:37 PM

Nellie M. Gorbea
Secretary of State