



State of Rhode Island and Providence Plantations  
**Department of State - Business Services Division**

2017 APR 26 AM 10:54  
 R.I. DEPT. OF STATE  
 BUSINESS DIV.

## Certificate of Authority FOREIGN Corporation

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is:		
Sierra Nevada Brewing Co.		
2. It is incorporated under the laws of:		
California		
3. The name, if different, which it elects to use in Rhode Island is: N/A		
(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:		
(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:		
4. The date of its incorporation is: 12/19/1983		
And the period of its duration is: <b>CHECK ONLY ONE BOX</b>		
<input checked="" type="checkbox"/> Perpetual (on-going)		
<input type="checkbox"/> Date certain for dissolution _____		
5. The address of its principal office is:		
1075 E. 20th Street, Chico, CA 95928		
6. The name and address of the initial registered agent/office of in Rhode Island:		
Agent Name		
C T Corporation System		
Street Address (NOT a P.O. Box)		
450 Veterans Memorial Parkway, Suite 7A		
City/Town	State	Zip Code
East Providence	RHODE ISLAND	02914

**FILED**

### MAIL TO:

Division of Business Services  
 148 W. River Street, Providence, Rhode Island 02904-2615  
 Phone: (401) 222-3040  
 Website: www.sos.ri.gov

APR 26 2017

BY

10:54

FORM 150 - Revised: 08/2016

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Contract with independent contractors to deliver Sierra Nevada Brewing Co. craft beer

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
Ken Grossman	1075 E. 20 Street, Chico, CA 95928

Check the box to indicate an attachment. ☐

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT	Ken Grossman	1075 E. 20th Street, Chico, CA 95928
VICE PRESIDENT	Sierra Grossman	1075 E. 20th Street, Chico, CA 95928
TREASURER	Paul Janicki	1075 E. 20th Street, Chico, CA 95928
SECRETARY	Harold "Bill" Bales	1075 E. 20th Street, Chico, CA 95928

Check the box to indicate an attachment. ☒

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
76,670	Domestic	N/A	No Par Value

10. (a) Estimate, in dollars, the value of all property to be owned by the corporation for the following year, wherever located:

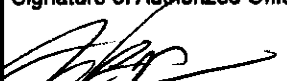
\$ 275,000,000.00

(b) Estimate, in dollars, the value of the corporation's property to be located within Rhode Island during the following year:

\$ 0

(c) Estimate, as a percentage, the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. Note: Divide (10b) by (10a) and multiply by 100 to obtain the percentage.

0 %

11. (a) Estimate, in dollars, the gross amount of business to be transacted by the corporation during the following year.  <div style="text-align: right;">\$ <u>290,000,000.00</u></div>	(b) Estimate, in dollars, the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year.  <div style="text-align: right;">\$ <u>0</u></div>
(c) Estimate, as a percentage, the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. <i>Note: Divide (11b) by (11a) and multiply by 100 to obtain the percentage.</i>  <div style="text-align: right;"><u>0</u> %</div>	
12. This application must be accompanied by a Certificate of Good Standing/Letter of Status issued by the proper officer of the state or country under the laws of which it is incorporated that is dated within 60 days of the filing of this document.	
13. Date when the Certificate of Authority will be effective: <b>CHECK ONLY ONE BOX</b>	
<input checked="checked" type="checkbox"/> Date received (Upon filing)	
<input type="checkbox"/> Later effective date (Date must be no more than 90 days from the day of filing) _____	
<i>Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.</i>	
Type or Print Name of Authorized Officer  Ken Grossman, President	Date  4/21/2017
Signature of Authorized Officer of the Corporation <div style="display: flex; justify-content: space-between; align-items: center;">  <div style="text-align: center;">SIGN DOCUMENT HERE</div> </div>	

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email [corporations@sos.ri.gov](mailto:corporations@sos.ri.gov).

Attachment: Complete List of Officers

TITLE	NAME	MAILING ADDRESS	DIRECTOR
President	Ken Grossman	1075 E. 20th Street, Chico, CA 95928	Yes
Vice-President	Sierra Grossman	1075 E. 20th Street, Chico, CA 95928	No
Chief Operating Officer	Jeff White	1075 E. 20th Street, Chico, CA 95928	No
Director of Sales & Marketing	Joe Whitney	1075 E. 20th Street, Chico, CA 95928	No
Chief Financial Officer & Treasurer	Paul Janicki	1075 E. 20th Street, Chico, CA 95928	No
Chief Supply Chain Officer	Mike Bennett	1075 E. 20th Street, Chico, CA 95928	No
Secretary	Harold "Bill" Bales	1075 E. 20th Street, Chico, CA 95928	No

State of California  
Secretary of State

CERTIFICATE OF STATUS

ENTITY NAME:

SIERRA NEVADA BREWING CO.

FILE NUMBER: C1234069  
FORMATION DATE: 12/19/1983  
TYPE: DOMESTIC CORPORATION  
JURISDICTION: CALIFORNIA  
STATUS: ACTIVE (GOOD STANDING)

RECEIVED  
R.I. DEPT. OF STATE  
BUS. SVCS. DIV.  
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I, ALEX PADILLA, Secretary of State of the State of California,  
hereby certify:

The records of this office indicate the entity is authorized to  
exercise all of its powers, rights and privileges in the State of  
California.

No information is available from this office regarding the financial  
condition, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate  
and affix the Great Seal of the State of  
California this day of March 20, 2017.

ALEX PADILLA  
Secretary of State



State of Rhode Island and Providence Plantations  
**Department of State | Office of the Secretary of State**  
**Nellie M. Gorbea**, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly executed in  
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as  
amended, has been filed in this office on this day:

April 26, 2017 10:54 AM

The signature is written in a cursive, flowing style in blue ink. It appears to read "Nellie M. Gorbea".

Nellie M. Gorbea  
*Secretary of State*

