



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. Corporate ID No. 000003658

2. Name of Corporation Carolan & Co., Inc.

3. Street Address Principal Business Office:

No. and Street: 10 WEYBOSSET STREET
City or Town: PROVIDENCE

State: RI Zip: 02903 Country: USA

4. Business Phone No.

5. State of Incorporation

State: RI

ARTICLE III

Using the dropdown labeled NAICS Code below, select the classification title that describes the primary type of business in which your entity engages. The box to the right of the dropdown will populate a NAICS Code based on the chosen selection. If the NAICS Code is known, enter it into the box on the right. For further assistance with selecting a classification [click here](#).

NAICS Code

6

52

6. Brief Description of the Character of Business Conducted in Rhode Island

GENERAL BROKERAGE AND INVESTMENT BUSINESS

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country

TREASURER	RICHARD F. CAROLAN	250 OCEAN ROAD NARRAGANSETT, RI 02882 USA
SECRETARY	KATHERINE G. NORRIS	56 HILLCREST ROAD WAKEFIELD, RI 02879 USA
PRESIDENT	RICHARD F CAROLAN	250 OCEAN ROAD NARRAGANSETT, RI 02882- USA
VICE PRESIDENT	FRANCIS P. CAROLAN	44 NORTH COURT STREE PROVIDENCE, RI 02903 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	80,000.00	4670

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 8 Day of August, 2017 at 11:36:52 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By W. PARISH LENTZ
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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