State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: $50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Limited Liability Company
Annual Report

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of $25.00.

ANNUAL REPORT YEAR: 2017

1. ID No. 001666884

2. Exact Name of the Limited Liability Company SUPERNOVA LENDING, LLC

3. State of Formation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes here. More information on NAICS can be found online.

522390

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

SUPERNOVA LENDING, LLC IS A FINANCIAL TECHNOLOGY COMPANY THAT MAINTAINS AN ONLINE PLATFORM TO
FACILITATE SECURITIES-BASED LENDING BETWEEN THE CLIENTS OF REGISTERED INVESTMENT ADVISORS AND
INDEPENDENT BROKER/DEALERS, AND BANKS. IN ADDITION TO PROVIDING THE TECHNOLOGY SOLUTIONS TO ITS CLIENTS,
SUPERNOVA MAY ALSO ACT AS SERVICER FOR THE LINES OF CREDIT ORIGINATED BY THE BANK.

5. Principal Office Address

No. and Street: 1209 ORANGE STREET
City or Town: WILMINGTON State: DE Zip: 19801 Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: JULIE SCHMIDT Contact Title: CHIEF COMPLIANCE OFFICER
No. and Street: 301 W GRAND, UNIT 180
City or Town: CHICAGO State: IL Zip: 60654 Country: USA

7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.

DO NOT LIST MEMBERS

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
MANAGER	DAVID ZYLSTRA	301 W GRAND AVENUE, #180 CHICAGO, IL 60654 USA
MANAGER	THOMAS ANDERSON	301 W GRAND AVE, #180 CHICAGO, IL 60654 USA
MANAGER	JARAMEE FINN	301 W. GRAND AVE, #180 CHICAGO, IL 60654 USA

8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11

CT CORPORATION SYSTEM 450 VETERANS MEMORIAL PARKWAY, SUITE 7A EAST PROVIDENCE , RI 02914

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 5 Day of October, 2017 at 2:24:24 PM by the authorized person. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By JARAMEE FINN
Signature of Authorized Person

Form No. 632
Revised 09/07

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