

Filing Fee: \$50.00

ID Number: 798319



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Division of Business Services
148 W. River Street
Providence, Rhode Island 02904-2615

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2017 OCT 11 PM 1:59

LIMITED LIABILITY COMPANY

AMENDMENT TO
APPLICATION FOR REGISTRATION

Pursuant to the provisions of Section 7-16-52 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign limited liability company hereby (**check one box only**) ☐ corrects or ☒ amends its Application for a Certificate of Registration to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the limited liability company is:

Tower Square Investment Management LLC

2. The statements in the application for registration were inaccurate when made or a change has occurred as follows:

THE COMPANY IS CHANGING ITS NAME FROM Tower Square Investment Management LLC to Cetera Investment Management LLC The name to be used in Rhode Island is Cetera Investment Management LLC

3. The date this Amendment to the Application for Registration is to become effective, if later than the date of filing, is:

(not prior to, nor more than 30 days after, the filing of this Amendment to the Application for Registration)

Under penalty of perjury, I declare and affirm that I have examined this Amendment to Application for Registration, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: 10/6/17

FILED

OCT 11 2017

Cetera Investment Management LLC

Print Exact Name of Limited Liability Company Making Application

By

Greg Olson

Signature of Authorized Person

Greg Olson

BY CA 314590
1:59



State of Rhode Island and Providence Plantations
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly executed in
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as
amended, has been filed in this office on this day:

October 11, 2017 01:59 PM

The signature is written in a cursive, flowing style in blue ink. It appears to read "Nellie M. Gorbea".

Nellie M. Gorbea
Secretary of State

